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A Unified View on the Decoding of Reed-Solomon Codes

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Outline



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- Reed-Solomon Codes
- Gröbner Basis
- Key Equation Based Decoding
- Curve-fitting Based Decoding
- Foresee

Reed-Solomon Codes



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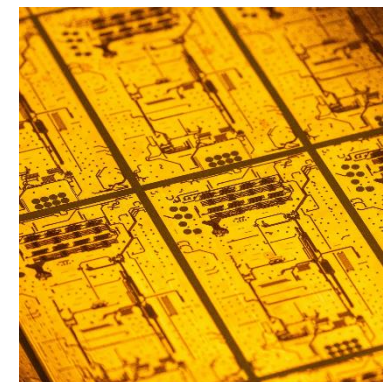
■ Applications



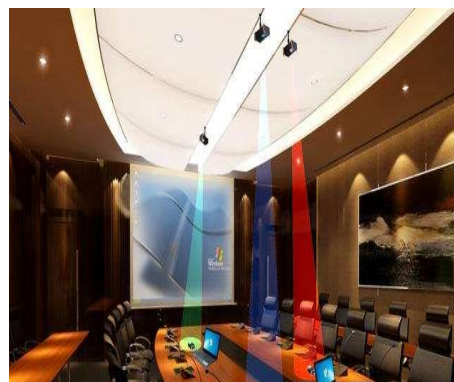
Deep space communications



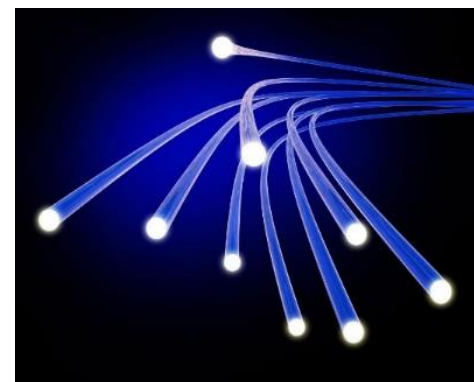
Storage systems



Chip communications



Visible light communications



Optical communications

Reed-Solomon Codes



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- Finite field: $\mathbf{F}_q = \{0, \sigma, \dots, \sigma^{q-2}\}$, where σ is its primitive element // Poly. rings: $\mathbf{F}_q[x]$, $\mathbf{F}_q[x, y]$

- For an (n, k) RS code

message $\mathbf{f} = (f_0, f_1, \dots, f_{k-1})$

or as in $f(x) = f_0 + f_1x + \dots + f_{k-1}x^{k-1}$

codeword $\mathbf{c} = (f(\alpha_0), f(\alpha_1), \dots, f(\alpha_{n-1}))$

$(\alpha_0, \alpha_1, \dots, \alpha_{n-1})$ belong to $\mathbf{F}_q \setminus \{0\}$ and called code locators

$\begin{matrix} & \searrow & & \searrow & & \searrow & & \searrow \\ & c_0 & & c_1 & & \dots & & c_{n-1} \end{matrix}$

- **Example 1** For a $(7, 3)$ RS code over $\mathbf{F}_8$

Message $\mathbf{f} = (0, 2, 5)$, or $f(x) = 2x + 5x^2$

Codeword $\mathbf{c} = (f(1), f(2), f(4), f(3), f(6), f(7), f(5))$
 $= (7, 6, 0, 1, 6, 1, 7)$

- $\mathbf{F}_8$ is an extension field of $\mathbf{F}_2$, defined $p(x) = x^3 + x + 1$
- In $\mathbf{F}_8$, $\{0, \sigma^0, \sigma^1, \sigma^2, \sigma^3, \sigma^4, \sigma^5, \sigma^6\} = \{0, 1, 2, 4, 3, 6, 7, 5\}$

Reed-Solomon Codes



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■ The channel

- Transmit $\mathbf{c} = (c_0, c_1, \dots, c_{n-1})$, in poly. $c(x) = c_0 + c_1x + \dots + c_{n-1}x^{n-1}$

+ + +

- Channel $\mathbf{e} = (e_0, e_1, \dots, e_{n-1})$, in poly. $e(x) = e_0 + e_1x + \dots + e_{n-1}x^{n-1}$

= = =

- Receive $\mathbf{w} = (\omega_0, \omega_1, \dots, \omega_{n-1})$, in poly. $\omega(x) = \omega_0 + \omega_1x + \dots + \omega_{n-1}x^{n-1}$

- Hamming distance $d_H(\mathbf{c}, \mathbf{w}) = |\{j \mid e_j \neq 0\}|$ (the number of errors introduced by the channel)

■ Syndrome based decoding

- Error-correction capability: $t \leq \lfloor (n - k) / 2 \rfloor$

■ Curve-fitting based decoding

- Error-correction capability: $t \geq \lfloor (n - k) / 2 \rfloor$

■ Probability based decoding

- Process soft received information to improve decoding performance

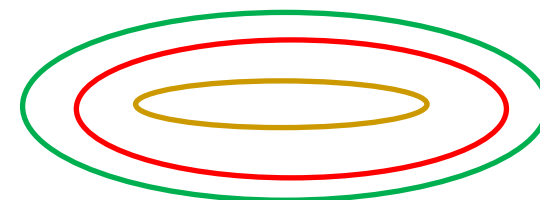
Gröbner Basis



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- Ideal / module (I) – submodule (Ω_s) – basis ($\langle \cdots \rangle$) – Gröbner basis (G)
- Given a point (α, β)



Gröbner Basis



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■ Submodule Ω_s :

$$\Omega_s = \{Q(x, y) \mid Q \in I \text{ and } \deg_y Q < s\}, \text{ and}$$

$$Q = \sum Q_{ab} x^a y^b = Q_{[0]} + Q_{[1]}y + \dots + Q_{[s-1]}y^{s-1} \in \mathbf{F}_q[x, y], \text{ where } Q_{[i]} \in \mathbf{F}_q[x]$$

■ Vector representation of Q :

$$Q = Q_{[0]} + Q_{[1]}y + \dots + Q_{[s-1]}y^{s-1} \Leftrightarrow \underline{Q} = (Q_{[0]}, Q_{[1]}, \dots, Q_{[s-1]}) \in \mathbf{F}_q[x]^s$$

■ Ordering of Q :

- $(1, w)$ -weighted degree of $x^a y^b$: $\deg_{(1, w)} x^a y^b = a + b \cdot w$
- $(1, w)$ -revlex order: $\text{ord}(x^{a_1} y^{b_1}) < \text{ord}(x^{a_2} y^{b_2})$, if $\deg_{(1, w)} < \deg_{(1, w)}$ or $\deg_{(1, w)} = \deg_{(1, w)}$ & $b_1 < b_2$
- $(1, w)$ -weighted degree of Q : $\deg_{(1, w)} Q = \max\{\deg_{(1, w)} x^a y^b \mid Q_{ab} \neq 0\}$
- Leading order of Q : $\text{lod}(Q) = \max\{\text{ord}(x^a y^b) \mid Q_{ab} \neq 0\}$
- Leading position of $\underline{Q}$: $\text{LP}(\underline{Q}) = \max\{i \mid \deg_{(1, w)} \underline{Q} = \deg_x Q_{[i]} + i \cdot w, Q_{[i]} \neq 0\}$

$$\begin{aligned} Q_1 < Q_2 &\Leftrightarrow \text{lod}(Q_1) < \text{lod}(Q_2) \\ \underline{Q}_1 < \underline{Q}_2 &\Leftrightarrow \deg_{(1, w)} \underline{Q}_1 < \deg_{(1, w)} \underline{Q}_2, \text{ or } \deg_{(1, w)} \underline{Q}_1 = \deg_{(1, w)} \underline{Q}_2 \text{ and } \text{LP}(\underline{Q}_1) < \text{LP}(\underline{Q}_2) \end{aligned}$$

Gröbner Basis



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- **Example 2** Given $w = 2$. Let $Q_1(x, y) = 1 + 3xy + 2x^2y^2$, $Q_2(x, y) = 3x + x^4y + 2y^2 \in F_5[x, y]$, they can be presented in $F_5[x]^3$ as

$$\underline{Q}_1 = (1, 3x, 2x^2), \underline{Q}_2 = (3x, x^4, 2)$$

For Q_1 ,

$$\deg_{(1,2)} Q_1 = \deg_{(1,2)} x^2y^2 = 6, \text{lod}(Q_1) = \text{ord}(x^2y^2) = 15, \text{LP}(\underline{Q}_1) = 2$$

For Q_2 ,

$$\deg_{(1,2)} Q_2 = \deg_{(1,2)} x^4y = 6, \text{lod}(Q_2) = \text{ord}(x^4y) = 14, \text{LP}(\underline{Q}_2) = 1$$

Therefore,

$$Q_2 < Q_1, \text{ or } \underline{Q}_2 < \underline{Q}_1$$

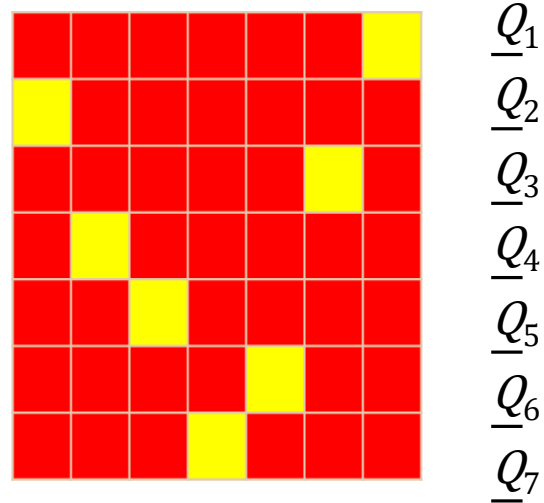
Gröbner Basis



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- Given a basis of Ω_s : $\mathbf{G} = \{Q_1, Q_2, \dots, Q_s\} \subset \Omega_s$, it can be represented in (light of $s = 7$)



Yellow square LPs

$LP(Q_i) \neq LP(Q_j), \forall i \neq j$

$(Q_1, Q_2, \dots, Q_s)^T$ is in weak Popov form

- $\mathbf{G}$ is a Gröbner basis for Ω_s , and

$$\Omega_s = \langle Q_1, Q_2, \dots, Q_s \rangle$$



■ **Example 3** Continue from **Example 2**

Given $\underline{Q}_1 = (1, 3x, 2x^2)$, $\underline{Q}_2 = (3x, x^4, 2)$, $\underline{Q}_3 = (4x^6, 2x^3, 3x)$.

$$\deg_{(1,2)} \underline{Q}_1 = 6, \text{LP}(\underline{Q}_1) = 2, \deg_{(1,2)} \underline{Q}_2 = 6, \text{LP}(\underline{Q}_2) = 1, \deg_{(1,2)} \underline{Q}_3 = 6, \text{LP}(\underline{Q}_3) = 0.$$

Therefore,

$$\begin{bmatrix} 1 & 3x & 2x^2 \\ 3x & x^4 & 2 \\ 4x^6 & 2x^3 & 3x \end{bmatrix}$$

i.e.,

$$\text{LP}(\underline{Q}_1) \neq \text{LP}(\underline{Q}_2) \neq \text{LP}(\underline{Q}_3),$$

Therefore, $\{\underline{Q}_1, \underline{Q}_2, \underline{Q}_3\}$ is a **Gröbner basis** of submodule $\langle \underline{Q}_1, \underline{Q}_2, \underline{Q}_3 \rangle$

Gröbner Basis



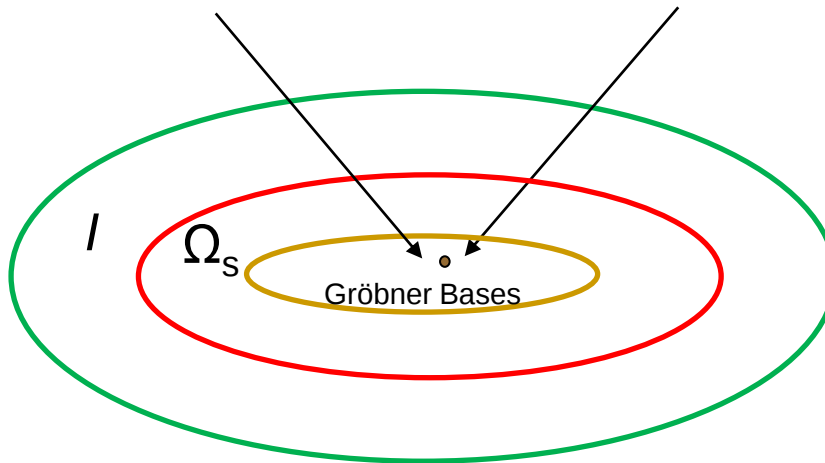
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- Decoding of Reed-Solomon codes can be seen as
Finding the minimum poly. in the ideal I , or submodule Ω_s

Syndrome based decoding:
minimum poly. $A(x)$

Curve-fitting based decoding:
minimum poly. $Q(x, y)$



Polynomial construction



Gröbner basis



Module basis reduction

Syndrome Based Decoding



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- Syndrome: $S_1 = \omega(\sigma), S_2 = \omega(\sigma^2), \dots, S_{2t} = \omega(\sigma^{2t}), 2t = n - k$

Syndrome polynomial: $S(x) = S_1 + S_2x + \dots + S_{2t}x^{2t-1}$

- Error locations: $X_1, X_2, \dots, X_t$

In light of a (7, 3) RS code, $(c_0, c_1, \boxed{c_2}, c_3, c_4, \boxed{c_5}, c_6)$, $X_1 = \sigma^2, X_2 = \sigma^5$. erroneous sym.

- The error locator polynomial:

$$\Lambda(x) = (1 - xX_1)(1 - xX_2) \cdots (1 - xX_t) = 1 + \Lambda_1x + \dots + \Lambda_tx_t$$

$$\Lambda(X_1^{-1}) = \Lambda(X_2^{-1}) = \dots = \Lambda(X_t^{-1}) = 0.$$

*Peterson-Gorenstein-Zierler Alg. (1960), **Berlekamp-Massey Alg. (1968), Euclidean Alg. (1975)***

- Determine the error locations: Chien search
- Determine the error magnitudes: Forney's algorithm
- Error-correction capability: $t \leq \lfloor (n - k) / 2 \rfloor$

From Gröbner Basis Perspective



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- Error evaluator polynomial:

$$W(x) = \sum_{j=1}^t e_{i_j} X_j \frac{\Lambda(x)}{(1-xX_j)}$$

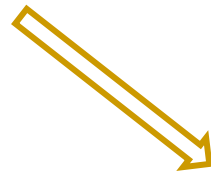
$$\text{Key equation: } S(x)\Lambda(x) = W(x) \pmod{x^{2t}}$$

BM Alg.

- Known: $S(x)$
- Unknown: $\Lambda(x)$
- Module basis: $\{ \Lambda(x) \}$

Euclidean Alg.

- Known: $S(x)$
- Unknown: $(\Lambda(x), W(x))$
- Solution module basis: $\{(1, S(x)), (0, x^{2t})\}$



Gröbner basis of the module

How to Determine the Gröbner Basis?



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Polynomial Construction

[Berlekamp-Massey Algorithm \(1968\)](#), [Kötter's Algorithm \(1996\)](#)



Gröbner Bases



[Syndrome Based Decoding: \$\Lambda\(x\)\$](#)

[Curve-fitting Based Decoding: \$Q\(x, y\)\$](#)

Module Basis Reduction

[Euclidean Algorithm \(1975\)](#), [Lee-O'Sullivan Algorithm \(2006\)](#)

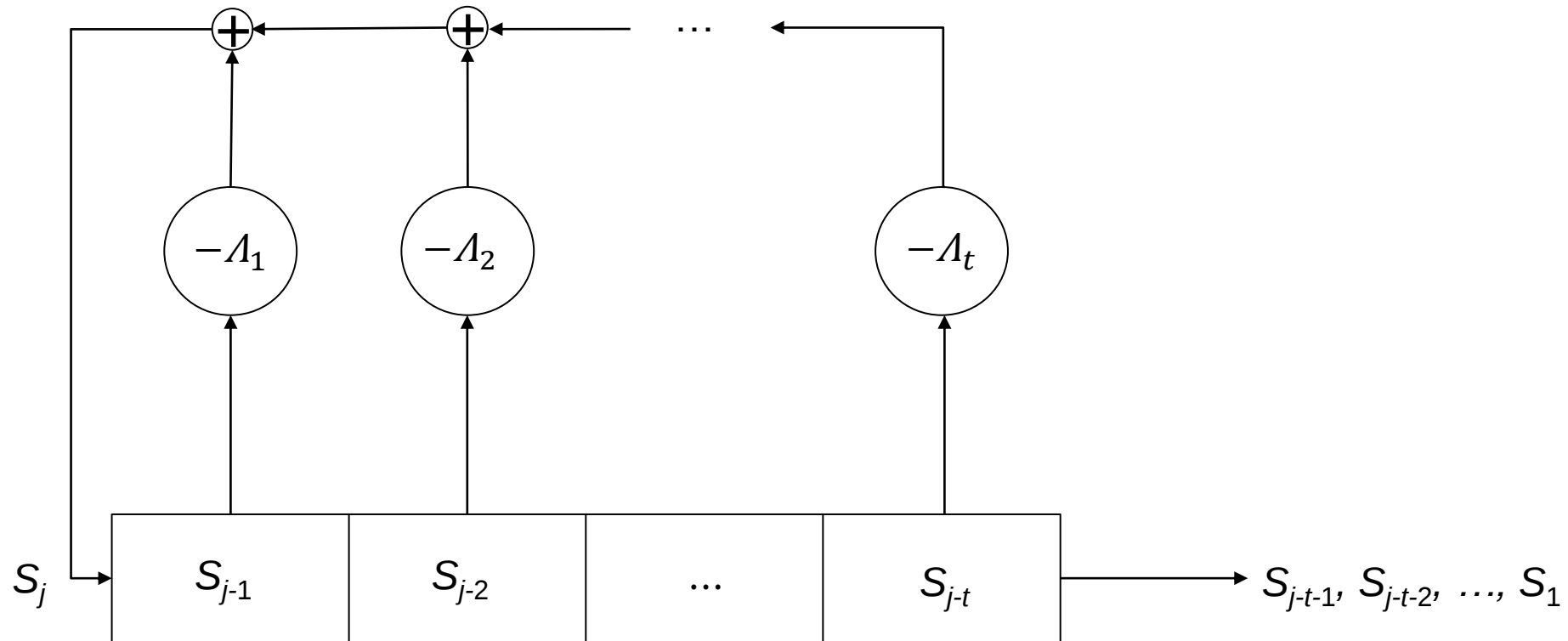
Syndrome Based Decoding



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- **Berlekamp-Massey (BM) algorithm**
- Error locator module: $\langle \Lambda(x) \rangle$
- Goal: Find the Gröbner Basis of $\langle \Lambda(x) \rangle$, i.e., the minimum polynomial $\Lambda(x)$.



Linear Feedback Shift Register

Syndrome Based Decoding



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Example 4 Continue from **Example 1**,

Given the (7, 3) RS codeword, the received word is

$$\omega = (7, 6, 3, 1, 6, 4, 7)$$

With the received word, we can calculate syndromes as

$$S_1 = \omega(2) = 1, S_2 = \omega(4) = 5, S_3 = \omega(3) = 5, S_4 = \omega(6) = 1$$

Berlekamp-Massey algorithm produces

r	l	z	$\Lambda(x)$	$T(x)$	Δ
0	0	-1	1	x	1
1	1	0	$1 - x$	x	4
2	1	0	$1 - 5x$	x^2	2
3	2	1	$1 - 5x - 2x^2$	$5x - 7x^2$	7
4			$1 - 3x - x^2$	$5x^2 - 7x^3$	

The error locator polynomial is $\Lambda(x) = 1 - 3x - x^2$. In $\mathbf{F}_8$, 7 (σ^5) and 4 (σ^2) are its roots. Therefore, ω_2 and ω_5 are erroneous.

How to Determine the Gröbner Basis?



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Polynomial Construction

Berlekamp-Massey Algorithm (1968), Kötter's Algorithm (1996)



Gröbner Bases



Key Equation Based Decoding: $\Lambda(x)$

Interpolation Based Decoding: $Q(x, y)$

Module Basis Reduction

Euclidean Algorithm (1975), Lee-O'Sullivan Algorithm (2006)

Syndrome Based Decoding



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- **The Euclidean algorithm**
- Key equation: $S(x)\Lambda(x) = W(x) \pmod{x^{2t}}$
- Solution module basis: $\{(1, S(x)), (0, x^{2t})\}$
- Goal: Find the minimal $(\Lambda(x), W(x))$
- Perform row operations over $\mathbf{F}_q[x]$ on $\begin{bmatrix} 1 & S(x) \\ 0 & x^{2t} \end{bmatrix}$, until a weak Popov form is reached

- $\begin{bmatrix} 1 & S(x) \\ 0 & x^{2t} \end{bmatrix} \longrightarrow \begin{bmatrix} \xi_{00}(x) & \xi_{01}(x) \\ \xi_{10}(x) & \xi_{11}(x) \end{bmatrix}$

- If $\deg \xi_{00}(x) + \deg \xi_{01}(x) > \deg \xi_{10}(x) + \deg \xi_{11}(x)$

$$\Lambda(x) = \xi_{10}(x), \text{ and } W(x) = \xi_{11}(x)$$

Otherwise,

$$\Lambda(x) = \xi_{00}(x), \text{ and } W(x) = \xi_{01}(x)$$

Syndrome Based Decoding



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- **Example 5** Continue from **Example 4**,

The syndrome polynomial is $S(x) = 1 + 5x + 5x^2 + 1x^3$

Solution module basis is

$$\begin{bmatrix} 1 & 1 + 5x + 5x^2 + 1x^3 \\ 0 & x^4 \end{bmatrix}$$

Reduce the above basis into a Gröbner basis as

$$\begin{bmatrix} 1 + 3x + x^2 & 1 + 6x \\ 7x + 7x^2 & 7x + x^2 \end{bmatrix}$$

and the error locator poly. is

$$\Lambda(x) = 1 + 3x + x^2$$

Syndrome Based Decoding - Chase Decoding



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■ Test-Vectors Formulation

□ Reliability matrix

$$\mathbf{\Pi} = \begin{bmatrix} \omega_0 & \omega_1 & \cdots & \cdots & \cdots & \omega_{n-1} \\ \pi_{0,0} & \pi_{0,1} & \cdots & \cdots & \cdots & \pi_{0,n-1} \\ \pi_{1,0} & \pi_{1,1} & \cdots & \cdots & \cdots & \pi_{1,n-1} \\ \vdots & \vdots & \ddots & \ddots & \ddots & \vdots \\ \vdots & \vdots & & \ddots & \ddots & \vdots \\ \pi_{q-1,0} & \pi_{q-1,1} & \cdots & \cdots & \cdots & \pi_{q-1,n-1} \end{bmatrix} \begin{matrix} \sigma_0 \\ \sigma_1 \\ \vdots \\ \vdots \\ \vdots \\ \sigma_{q-1} \end{matrix}$$

□ Reliability sorting

$$\rho_{j_0} \geq \rho_{j_1} \geq \cdots \geq \rho_{j_{n-1}} \Rightarrow \omega_{j_0}, \omega_{j_1}, \dots, \omega_{j_{n-1}}$$

A greater ρ_j indicates the received information of ω_j is more reliable

□ The two most likely decisions for c_j :

$$\begin{cases} \omega_j^I = \sigma_{B_1} \\ \omega_j^{II} = \sigma_{B_2} \end{cases} \Rightarrow \begin{cases} B_1 = \arg \max_i \{ \pi_{i,j} \} \\ B_2 = \arg \max_{i, i \neq j} \{ \pi_{i,j} \} \end{cases}$$

□ The symbol-wise reliability metric :

$$\rho_j = \frac{\pi_{B_1,j}}{\pi_{B_2,j}} \quad \text{and } \rho_j \in [1, \infty)$$

Syndrome Based Decoding - Chase Decoding



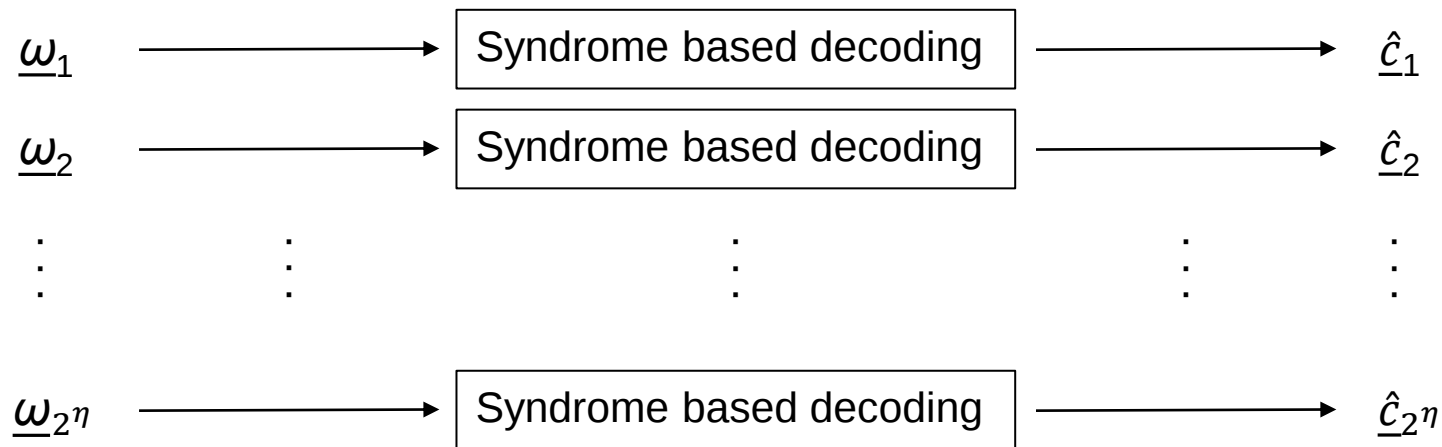
■ Test-Vectors Formulation

- Identifying η least reliable symbols, 2^η test-vectors are formed.

$$\underline{\omega}_u = (\omega_{j_0}^{(u)}, \omega_{j_1}^{(u)}, \dots, \omega_{j_{n-\eta-1}}^{(u)}, \omega_{j_{n-\eta}}^{(u)}, \dots, \omega_{j_{n-1}}^{(u)}), 1 \leq u \leq 2^\eta$$

$$\begin{array}{ccccccc} & / & / & / & \backslash & \backslash & \backslash \\ \omega_{j_0}^I & \omega_{j_1}^I & \omega_{j_{n-\eta-1}}^I & \omega_{j_{n-\eta}}^I \text{ or } \omega_{j_{n-\eta}}^{II} & \omega_{j_{n-1}}^I & \omega_{j_{n-1}}^{II} \end{array}$$

■ Decoding



Curve-fitting Based Decoding



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- **Guruswami-Sudan (GS) algorithm**

- Code locators $(\alpha_0, \alpha_1, \dots, \alpha_{n-1})$
- Transmit $\mathbf{c} = (c_0, c_1, \dots, c_{n-1})$
- Receive $\mathbf{w} = (w_0, w_1, \dots, w_{n-1})$
- Interpolation points: $(\alpha_0, w_0), (\alpha_1, w_1), \dots, (\alpha_{n-1}, w_{n-1})$
- Interpolation multiplicity: m

- Interpolation ideal I_m : a set of all $Q \in \mathbf{F}_q[x, y]$ which have a zero of multiplicity m at the above interpolation points

- Designed decoding list size: $l, l = \deg_y Q$

- Interpolation module Ω_l :

$$\Omega_l \in I_m$$

- **Goal:** Find the Gröbner basis of Ω_l

Curve-fitting Based Decoding



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- **Guruswami-Sudan (GS) algorithm**

- **Interpolation:** Generate a minimal polynomial $Q(x, y)$ in the interpolation module Ω ,

If $\omega_j = c_j$, $(x - \alpha_j)^m \mid Q(x, f(x))$. Hence, $\prod_{j: \omega_j = c_j} (x - \alpha_j)^m \mid Q(x, f(x))$

- **Root-Finding:** Find the y -roots of $Q(x, y)$. If the decoding succeeds, the intended message $f(x)$ can be found in $Q(x, f(x)) = 0$

If $m |\{j: \omega_j = c_j\}| > \deg Q(x, f(x))$, $Q(x, f(x)) = 0$

- The decoding corrects $n - |\{j: \omega_j = c_j\}| \geq \lfloor (n - k) / 2 \rfloor$ errors

Curve-fitting Based Decoding



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- Why if $\omega_j = c_j$, $(x - \alpha_j)^m \mid Q(x, f(x))$?
- Point $(\alpha_j, \omega_j) = (\alpha_j, f(\alpha_j))$

How to Determine the Gröbner Basis?



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Polynomial Construction

Berlekamp-Massey Algorithm (1968), Kötter's Algorithm (1996)



Gröbner Bases

Key Equation Based Decoding: $\Lambda(x)$



Interpolation Based Decoding: $Q(x, y)$

Module Basis Reduction

Euclidean Algorithm (1975), Lee-O'Sullivan Algorithm (2006)

Curve-fitting Based Decoding



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- **Kötter's interpolation**
- Interpolation points: $(\alpha_0, \omega_0), (\alpha_1, \omega_1), \dots, (\alpha_{n-1}, \omega_{n-1})$
- Decoding parameter: m, l
- Determine $Q(x, y)$ in the Gröbner basis of interpolation module Ω_l

Initialize $\mathbf{G} = \{g^{(0)}, g^{(1)}, g^{(2)}, \dots, g^{(l)}\} = \{1, y, y^2, \dots, y^l\}$

Iterative polynomial construction

For each point (α_j, ω_j)

{

Test if $g^{(h)}(x, y) = \sum_{a+b \geq m} g_{ab}^{(h)} (x - \alpha_j)^a (y - \omega_j)^b \theta_{ab}(x, y)$, for all h

For those that are not

{

Modify them using bilinear modification, e.g. $g^{(h)}(x, y) (x - \alpha_j) \rightarrow g^{(h)}(x, y)$

}

}

$Q(x, y) = \min\{g^{(h)} | g^{(h)} \in \mathbf{G}\}$

Curve-fitting Based Decoding



Example 6 Given locators $\alpha = (1, 2, 4, 3, 6, 7, 5)$, codeword $c = (4, 6, 6, 0, 2, 4, 0)$,

Received word $w = (7, 6, 6, 0, 2, 3, 3)$

Interpolation points $(1, 7), (2, 6), (4, 6), (3, 0), (6, 2), (7, 3), (5, 3)$

Let $m = 4$, then $l = 7$. Initialize $\mathbf{G} = \{1, y, y^2, y^3, y^4, y^5, y^6, y^7\}$. Kötter's interpolation produces

j	(α, β)	Δ_0	Δ_1	Δ_2	Δ_3	Δ_4	Δ_5	Δ_6	Δ_7	i^*	$\mathbf{G}$
0	(0, 0)	1	7	3	2	5	6	4	1	0	$\{x+1, y+7, y^2+3, y^3+2, y^4+5, y^5+6, y^6+4, y^7+1\}$
1	(0, 1)	0	1	0	3	0	5	0	4	1	$\{x+1, xy+y+7x+7, y^2+3, y^3+3y, y^4+5, y^5+5y, y^6+4, y^7+4y\}$
2	(0, 2)	0	0	1	7	0	0	5	6	2	$\{x+1, xy+y+\dots, y^2+xy^2+\dots, y^3+7y^2+\dots, y^4+5, y^5+5y, y^6+5y^2+\dots, y^7+6y^2+\dots\}$
...	...	...	...	...	...	...	...	...	...	...	...
69	(4, 0)	5	5	1	1	1	0	0	4	5	$\{4y^7+4x^3y^6+\dots, 5xy^7+7y^7+\dots, 3x^3y^6+x^2y^6+\dots, 3x^3y^6+6x^2y^6+\dots, 3x^4y^6+6x^3y^6+\dots, 7y^7+3x^2y^6+\dots, 7x^2y^6+6xy^6+\dots, 3xy^7+6x^3y^6+\dots\}$

- $Q(x, y) = (5x + 6x^2 + \dots + 7x^{15}) + (4 + 7x + \dots + 6x^{13})y + \dots + (6 + 6x + 3x^2)y^6 + 7y^7$
- Root-finding: $Q(x, y) \rightarrow \{1 + 7x + 6x^2, \underline{2 + 3x + 5x^2}, 2 + 6x + 3x^2, 3 + 5x + x^2, 7 + 2x + 6x^2\}$

How to Determine the Gröbner Basis?



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Polynomial Construction

Berlekamp-Massey Algorithm (1968), Kötter's Algorithm (1996)



Gröbner Bases

Key Equation Based Decoding: $\Lambda(x)$



Interpolation Based Decoding: $Q(x, y)$

Module Basis Reduction

Euclidean Algorithm (1975), Lee-O'Sullivan Algorithm (2006)

Curve-fitting Based Decoding



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- **Module basis reduction interpolation**
- Lagrange poly. w.r.t. α_j

$$L_j(x) = \prod_{j'=0, j' \neq j}^{n-1} \frac{x - \alpha_{j'}}{\alpha_j - \alpha_{j'}} \quad \Longrightarrow \quad \begin{aligned} L_j(\alpha_{j'}) &= 1, j' = j \\ L_j(\alpha_{j'}) &= 0, j' \neq j \end{aligned}$$

- Seed polys.:

$$G(x) = \prod_{j=0}^{n-1} (x - \alpha_j) = x^n - 1, \quad R(x) = \sum_{j=0}^{n-1} \omega_j L_j(x)$$

Note that $R(\alpha_j) = \omega_j \quad \Longrightarrow \quad y - R(x)$ interpolates (α_j, ω_j)

- Generators of interpolation module Ω_j :

$$P_s(x, y) = G(x)^{m-t}(y - R(x))^t, \quad 0 \leq s \leq m$$

$$P_s(x, y) = y^{l-m}(y - R(x))^m, \quad m < s \leq l$$

Note that $P_s(x, y)$ interpolate $(\alpha_0, \omega_0), (\alpha_1, \omega_1), \dots, (\alpha_{n-1}, \omega_{n-1})$ with a multiplicity of m

Curve-fitting Based Decoding



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- **Example 7** Decoding the (7, 3) RS code using **module basis reduction interpolation** with $m = 4$ and $l = 7$

Locators $\alpha = (1, 2, 4, 3, 6, 7, 5)$

Codeword $\mathbf{c} = (4, 6, 6, 0, 2, 4, 0)$

Rex Word $\omega = (7, 6, 6, 0, 2, 3, 3)$

Interpolation points $(1, 7), (2, 6), (4, 6), (3, 0), (6, 2), (7, 3), (5, 3)$

Seed polys.

$$G(x) = (x-1)(x-2)(x-4)(x-3)(x-6)(x-7)(x-5) = x^7 - 1$$

$$\begin{aligned} R(x) &= 7L_0(x) + 6L_1(x) + 6L_2(x) + 0L_3(x) + 2L_4(x) + 3L_5(x) + 3L_6(x) \\ &= 5 + 7x + 5x^2 + 7x^4 + 3x^5 + 4x^6 \end{aligned}$$

Module generators

$$P_0(x, y) = G(x)^4, \quad P_1(x, y) = G(x)^3(y - R(x)), \quad P_2(x, y) = G(x)^2(y - R(x))^2$$

$$P_3(x, y) = G(x)(y - R(x))^3, \quad P_4(x, y) = (y - R(x))^4, \quad P_5(x, y) = y(y - R(x))^4$$

$$P_6(x, y) = y^2(y - R(x))^4, \quad P_7(x, y) = y^3(y - R(x))^4$$

Curve-fitting Based Decoding



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- Module Ω_7 is

$$\begin{bmatrix} 1 + x^{28} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 5 + 7x + \dots + 4x^{27} & 1 + x^7 + x^{14} + x^{21} & 0 & 0 & 0 & 0 & 0 & 0 \\ 7 + 3x + \dots + 6x^{26} & 0 & 1 + x^{14} & 0 & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & & & & \vdots \\ 0 & 0 & 0 & 3 + 5x^4 + \dots + 2x^{24} & 0 & 0 & 0 & 1 \end{bmatrix}$$

- Map $\Phi_7 = \Omega_7 \text{diag}(1, x^2, \dots, x^{14})$

$$\begin{bmatrix} (1 + x^{28})1 & (0)x^2 & (0)x^4 & (0)x^6 & \dots & (0)x^{12} & (0)x^{14} \\ (5 + 7x + \dots + 4x^{27})1 & (1 + x^7 + x^{14} + x^{21})x^2 & (0)x^4 & (0)x^6 & \dots & (0)x^{12} & (0)x^{14} \\ (7 + 3x + \dots + 6x^{26})1 & (0)x^2 & (1 + x^{14})x^4 & (0)x^6 & \dots & (0)x^{12} & (0)x^{14} \\ \vdots & \vdots & \vdots & \ddots & & \vdots & \vdots \\ (0)1 & (0)x^2 & (0)x^4 & (3 + 5x^4 + \dots + 2x^{24})x^6 & \dots & (0)x^{12} & (1)x^{14} \end{bmatrix}$$

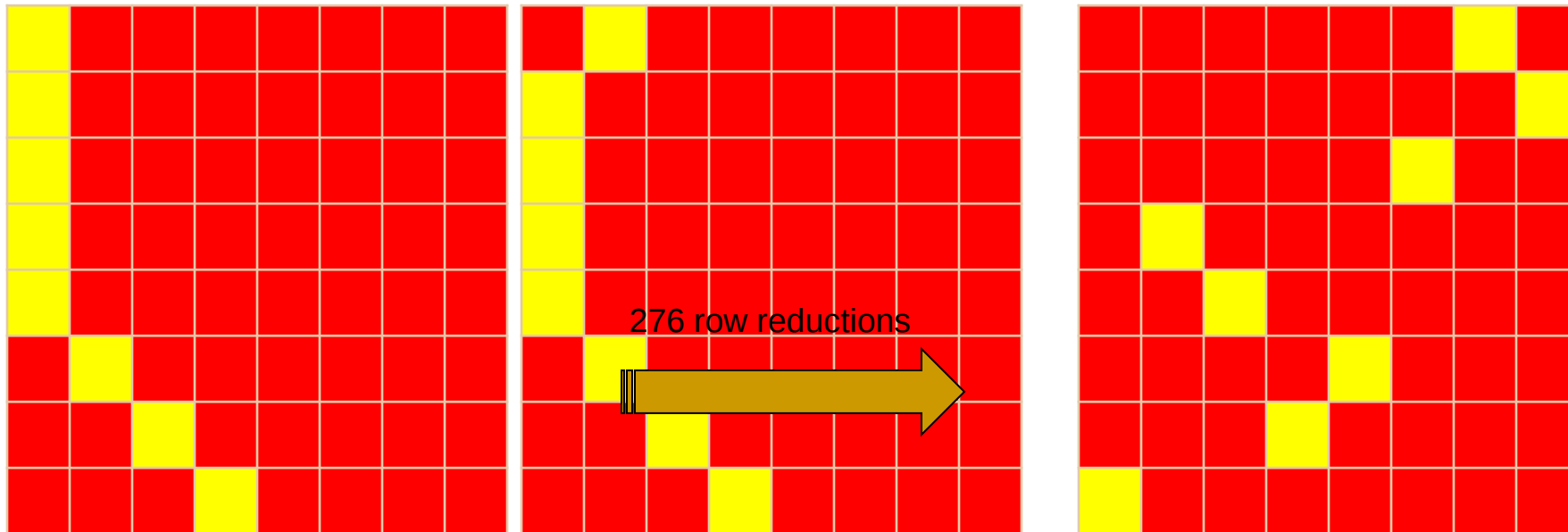
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- Basis reduction: a row reduction process that brings Φ_7 into the weak Popov form
- A Mosaic visualization of basis reduction



- $Q(x, y) = (2x + 7x^2 + \dots 3x^{13}) + (7 + x^2 + \dots + 4x^{13})y + \dots + (3 + 4x + 3x^3)y^6$
- Root-finding: $Q(x, y) \rightarrow \{1 + 7x + 6x^2, \underline{2 + 3x + 5x^2}, 2 + 6x + 3x^2, 3 + 5x + x^2\}$

Curve-fitting Based Decoding -- ReT



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■ Re-encoding Transform (ReT)

- Transform the original interpolation problem to a reduced interpolation problem
- Choose k symbols

(For hard-decision decoding, the first k symbols can be chosen for simplicity)

$$h_j = \omega_j, \text{ if } j = 0, 1, \dots, k-1 \xrightarrow{\text{erasure decoding}} \underline{h} = (h_0, h_1, \dots, h_{n-1})$$

- Re-encoding transform

$$z_j = \begin{cases} 0, & j = 0, 1, \dots, k-1. \\ \frac{\omega_j - h_j}{V(\alpha_j)} = \frac{z_j}{V(\alpha_j)}, & j = k, k+1, \dots, n-1. \end{cases}$$

$$V(x) = \prod_{j=0,1,\dots,k-1} (x - \alpha_j)$$

- The transformed vector

$$\underline{z} = (0, 0, \dots, 0, z_k, \dots, z_{n-1})$$

k points are set to zero

Their interpolation constraints are satisfied by $V(x)^m$

Only need to interpolate $n - k$ points: $(\alpha_k, z_k), (\alpha_{k+1}, z_{k+1}), \dots, (\alpha_{n-1}, z_{n-1})$

Curve-fitting Based Decoding -- ReT



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■ Module Basis Reduction with ReT

- Let us have a look at the module seeds

- $G(x) = (x - \alpha_0)(x - \alpha_1) \dots (x - \alpha_{k-1})(x - \alpha_k) \dots (x - \alpha_{n-1})$

- Since $z_0 = z_1 = \dots = z_{k-1} = 0$

$$R(x) = z_k L_k + z_{k+1} L_{k+1} + \dots + z_{n-1} L_{n-1}$$

$$L_k(x) = \frac{(x - \alpha_0)(x - \alpha_1) \dots (x - \alpha_{k-1})(x - \alpha_{k+1}) \dots (x - \alpha_{n-1})}{(\alpha_k - \alpha_0)(\alpha_k - \alpha_1) \dots (\alpha_k - \alpha_{k-1})(\alpha_k - \alpha_{k+1}) \dots (\alpha_k - \alpha_{n-1})}$$

$$L_{k+1}(x) = \frac{(x - \alpha_0)(x - \alpha_1) \dots (x - \alpha_{k-1})(x - \alpha_k) \dots (x - \alpha_{n-1})}{(\alpha_{k+1} - \alpha_0)(\alpha_{k+1} - \alpha_1) \dots (\alpha_{k+1} - \alpha_{k-1})(\alpha_{k+1} - \alpha_k) \dots (\alpha_{k+1} - \alpha_{n-1})}$$

⋮

$$L_{n-1}(x) = \frac{(x - \alpha_0)(x - \alpha_1) \dots (x - \alpha_{k-1})(x - \alpha_k) \dots (x - \alpha_{n-2})}{(\alpha_{n-1} - \alpha_0)(\alpha_{n-1} - \alpha_1) \dots (\alpha_{n-1} - \alpha_{k-1})(\alpha_{n-1} - \alpha_k) \dots (\alpha_{n-1} - \alpha_{n-2})}$$

- $V(x) = \prod_{j=0,1,\dots,k-1} (x - \alpha_j)$ becomes a GCD for both $G(x)$ and $R(x)$

Curve-fitting Based Decoding -- ReT



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■ Module Basis Reduction with ReT

- With $V(x) = \prod_{j=0,1,\dots,k-1} (x - \alpha_j)$

- Let

$$G^*(x) = \frac{G(x)}{V(x)} \quad R^*(x) = \frac{R(x)}{V(x)}$$

The interpolation complexity is reduced by a factor of $\frac{k}{n}$.

- An isomorphism of module can be created by

$$P_s^*(x, y) = G^*(x)^{m-s} (y - R^*(x))^s, \text{ if } 0 \leq s \leq m.$$

$$P_s^*(x, y) = (yV(x))^{s-m} (y - R^*(x))^m, \text{ if } m < s \leq l.$$

- Basis reduction and restoration: $Q(x, y) = Q^* \left(x, \frac{y}{V(x)} \right) \cdot \psi(x) \longleftarrow \psi(x) = \prod_{j=0,1,\dots,k-1} (x - \alpha_j)^m$

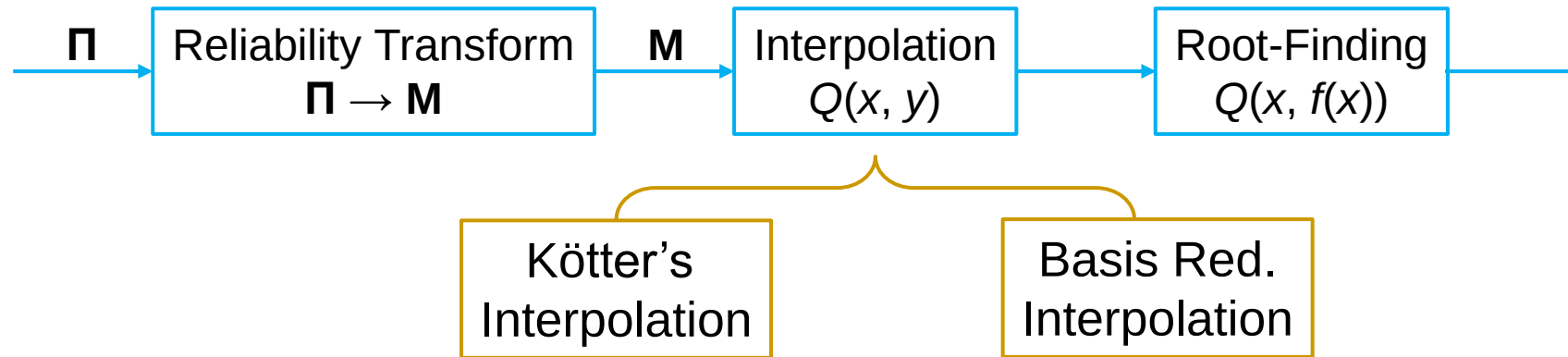
Curve-fitting Based Decoding -- ASD



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- Algebraic Soft Decoding (ASD)



Curve-fitting Based Decoding -- ASD



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- Reliability matrix $\Pi \rightarrow$ multiplicity matrix $\mathbf{M}$ transform

$$\Pi = \begin{bmatrix} 0.02 & 0.00 & 0.01 & 0.68 & 0.03 & 0.00 & 0.01 \\ 0.02 & 0.10 & 0.02 & 0.11 & 0.05 & 0.02 & 0.08 \\ 0.01 & 0.05 & 0.01 & 0.05 & 0.71 & 0.01 & 0.31 \\ 0.00 & 0.03 & 0.11 & 0.01 & 0.09 & 0.56 & 0.42 \\ 0.12 & 0.02 & 0.02 & 0.00 & 0.00 & 0.38 & 0.13 \\ 0.03 & 0.01 & 0.00 & 0.10 & 0.10 & 0.00 & 0.01 \\ 0.00 & 0.74 & 0.83 & 0.03 & 0.00 & 0.02 & 0.01 \\ 0.80 & 0.05 & 0.00 & 0.02 & 0.02 & 0.01 & 0.03 \end{bmatrix}$$

Channel transition prob. $\Pr(\omega_j = \cdot \mid c_j)$

Interpolation
multiplicity for
(3, 0) is 5.

Designed list size: l
Interpolation module: Ω_l

Interpolation points: Multiplicities

(1, 7): 6 (2, 6): 5 (4, 6): 6 (3, 0): 5

(6, 2): 5 (7, 3): 4 (7, 4): 2 (5, 2): 2

(5, 3): 3 (5, 4): 1

$$\mathbf{M} = \begin{bmatrix} 1 & 2 & 4 & 3 & 6 & 7 & 5 \\ 0 & 0 & 0 & 5 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 5 & 0 & 2 \\ 0 & 0 & 0 & 0 & 0 & 4 & 3 \\ 0 & 0 & 0 & 0 & 0 & 2 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 5 & 6 & 0 & 0 & 0 & 0 \\ 6 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{matrix} 0 \\ 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ 6 \\ 7 \end{matrix}$$

Curve-fitting Based Decoding -- ASD



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- **ASD algorithm using Kötter's interpolation (ASD-Kötter)**
- Interpolation points: $\{(\alpha_j, \sigma_i), m_{ij} \neq 0\}$
- Determine the minimum polynomial $Q(x, y)$ in Ω_l

Initialize $\mathbf{G} = \{g^{(0)}, g^{(1)}, g^{(2)}, \dots, g^{(l)}\} = \{1, y, y^2, \dots, y^l\}$

For each point (α_j, σ_i)

{

Test if $g^{(h)}(x, y) = \sum_{a+b \geq m_{ij}} g_{ab}^{(h)}(x - \alpha_j)^a (y - \sigma_i)^b \Theta_{ab}(x, y)$, for all h

For those that are not

{

Modify them using bilinear modification, e.g. $g^{(h)}(x, y)(x - \alpha_j) \rightarrow g^{(h)}(x, y)$

}

}

$Q = \min\{g^{(h)} \mid g^{(h)} \in \mathbf{G}\}$

Curve-fitting Based Decoding -- ASD



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- ASD with basis reduction interpolation (ASD-BR)
- Point enumeration

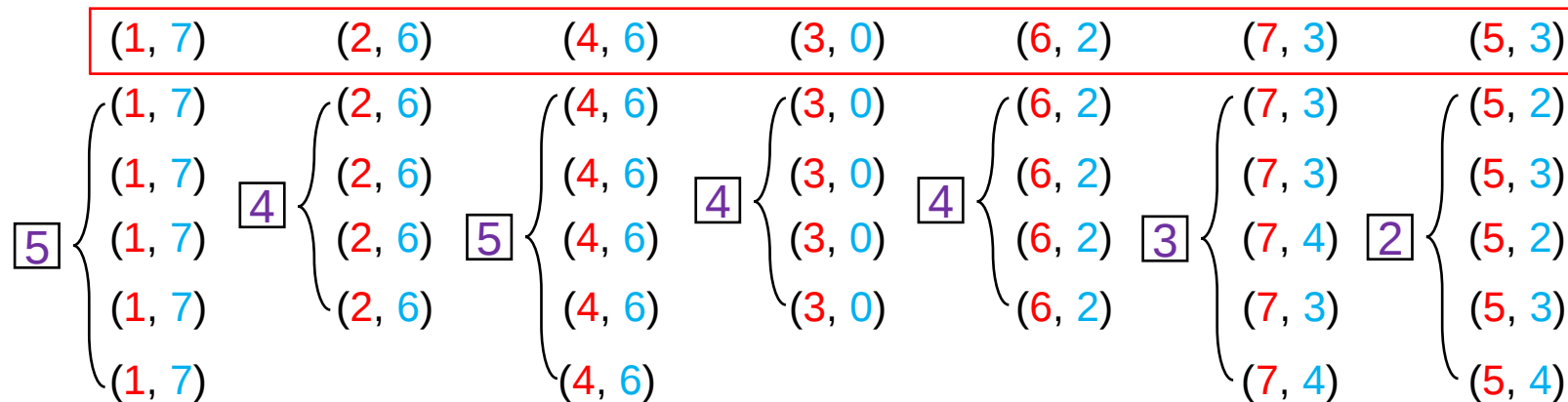
$$\mathbf{M} = \begin{matrix} & \begin{matrix} 1 & 2 & 4 & 3 & 6 & 7 & 5 \end{matrix} \\ \begin{bmatrix} 0 & 0 & 0 & 5 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 5 & 0 & 2 \\ 0 & 0 & 0 & 0 & 0 & 4 & 3 \\ 0 & 0 & 0 & 0 & 0 & 2 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 5 & 6 & 0 & 0 & 0 & 0 \\ 6 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} & \begin{matrix} 0 \\ 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ 7 \end{matrix} \end{matrix}$$

(1, 7)	(2, 6)	(4, 6)	(3, 0)	(6, 2)	(7, 3)	(5, 3)
(1, 7)	(2, 6)	(4, 6)	(3, 0)	(6, 2)	(7, 3)	(5, 2)
(1, 7)	(2, 6)	(4, 6)	(3, 0)	(6, 2)	(7, 3)	(5, 3)
(1, 7)	(2, 6)	(4, 6)	(3, 0)	(6, 2)	(7, 4)	(5, 2)
(1, 7)	(2, 6)	(4, 6)	(3, 0)	(6, 2)	(7, 3)	(5, 3)
(1, 7)		(4, 6)			(7, 4)	(5, 4)

Curve-fitting Based Decoding -- ASD



- ASD-BR algorithm
- Module basis formulation



$$P_0(x, y) = (x - 1)^6 (x - 2)^5 (x - 4)^6 (x - 3)^5 (x - 6)^5 (x - 7)^4 (x - 5)^3$$

$$R_1(x) = 7L_0(x) + 6L_1(x) + 6L_2(x) + 0L_3(x) + 2L_4(x) + 3L_5(x) + 3L_6(x)$$

$$R_1(1) = 7, R_1(2) = 6, R_1(4) = 6, R_1(3) = 0, R_1(6) = 2, R_1(7) = 3, R_1(5) = 3$$

$y - R_1(x)$ interpolates the 7 points encircled by

$$P_1(x, y) = (y - R_1(x)) (x - 1)^5 (x - 2)^4 (x - 4)^5 (x - 3)^4 (x - 6)^4 (x - 7)^3 (x - 5)^2$$

Curve-fitting Based Decoding -- ASD



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- ASD-BR algorithm
- Module formulation

$$\begin{array}{ccccccc}
 (1, 7) & (2, 6) & (4, 6) & (3, 0) & (6, 2) & (7, 3) & (5, 3) \\
 \hline
 (1, 7) & (2, 6) & (4, 6) & (3, 0) & (6, 2) & (7, 3) & (5, 2) \\
 \hline
 \boxed{4} \left\{ \begin{array}{l} (1, 7) \\ (1, 7) \\ (1, 7) \\ (1, 7) \end{array} \right. & \boxed{3} \left\{ \begin{array}{l} (2, 6) \\ (2, 6) \\ (2, 6) \end{array} \right. & \boxed{4} \left\{ \begin{array}{l} (4, 6) \\ (4, 6) \\ (4, 6) \\ (4, 6) \end{array} \right. & \boxed{3} \left\{ \begin{array}{l} (3, 0) \\ (3, 0) \\ (3, 0) \end{array} \right. & \boxed{3} \left\{ \begin{array}{l} (6, 2) \\ (6, 2) \\ (6, 2) \end{array} \right. & \boxed{2} \left\{ \begin{array}{l} (7, 3) \\ (7, 4) \\ (7, 3) \end{array} \right. & \boxed{2} \left\{ \begin{array}{l} (5, 3) \\ (5, 2) \\ (5, 3) \\ (5, 4) \end{array} \right.
 \end{array}$$

$$R_2(x) = 7L_0(x) + 6L_1(x) + 6L_2(x) + 0L_3(x) + 2L_4(x) + 3L_5(x) + 2L_6(x)$$

$y - R_2(x)$ interpolates the 7 points encircled by

$$P_2(x, y) = (y - R_1(x)) (y - R_2(x)) (x - 1)^4 (x - 2)^3 (x - 4)^4 (x - 3)^3 (x - 6)^3 (x - 7)^2 (x - 5)^2$$

- Generators of module Ω_I : $P_s(x, y) = \prod_{\varepsilon=0}^{s-1} (y - R_\varepsilon(x)) \prod_{j=0}^{n-1} (x - \alpha_j)^{m_j^{(s)}}$, $0 \leq s \leq l$
- Reduce the basis of Ω_I into a Gröbner basis

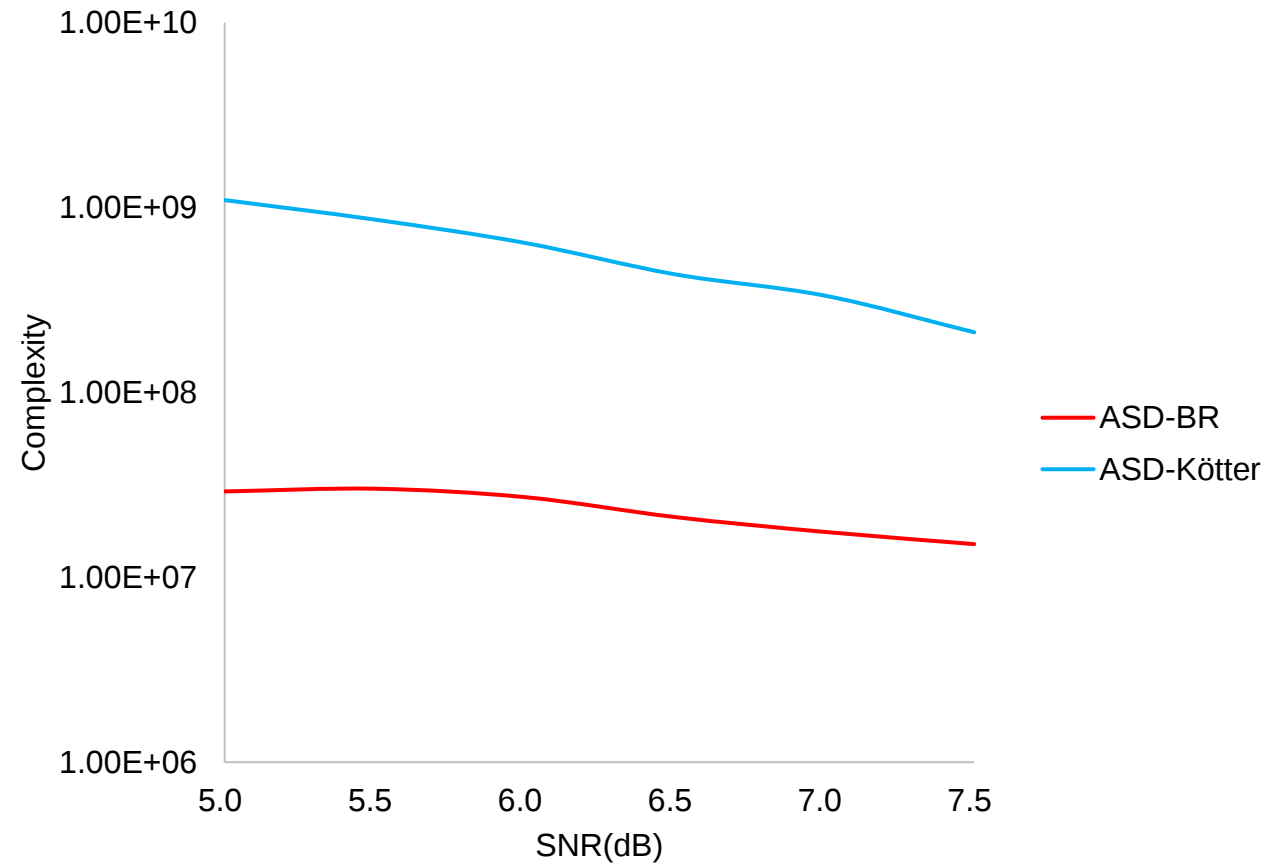
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- Complexity of **ASD-Kötter** and **ASD-BR** in decoding the (255, 239) RS code
- AWGN, BPSK



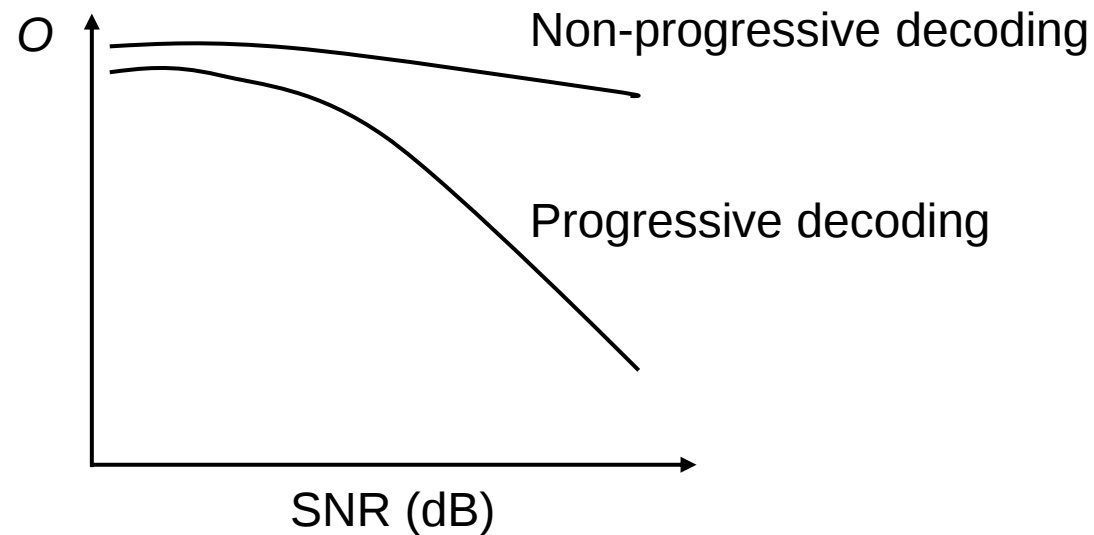
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- **Progressive Algebraic Soft Decoding (PASD)**
- Motivation: $O = \Gamma(\Pi)$, i.e., decoding complexity becomes a function of reliability



Curve-fitting Based Decoding -- PASD

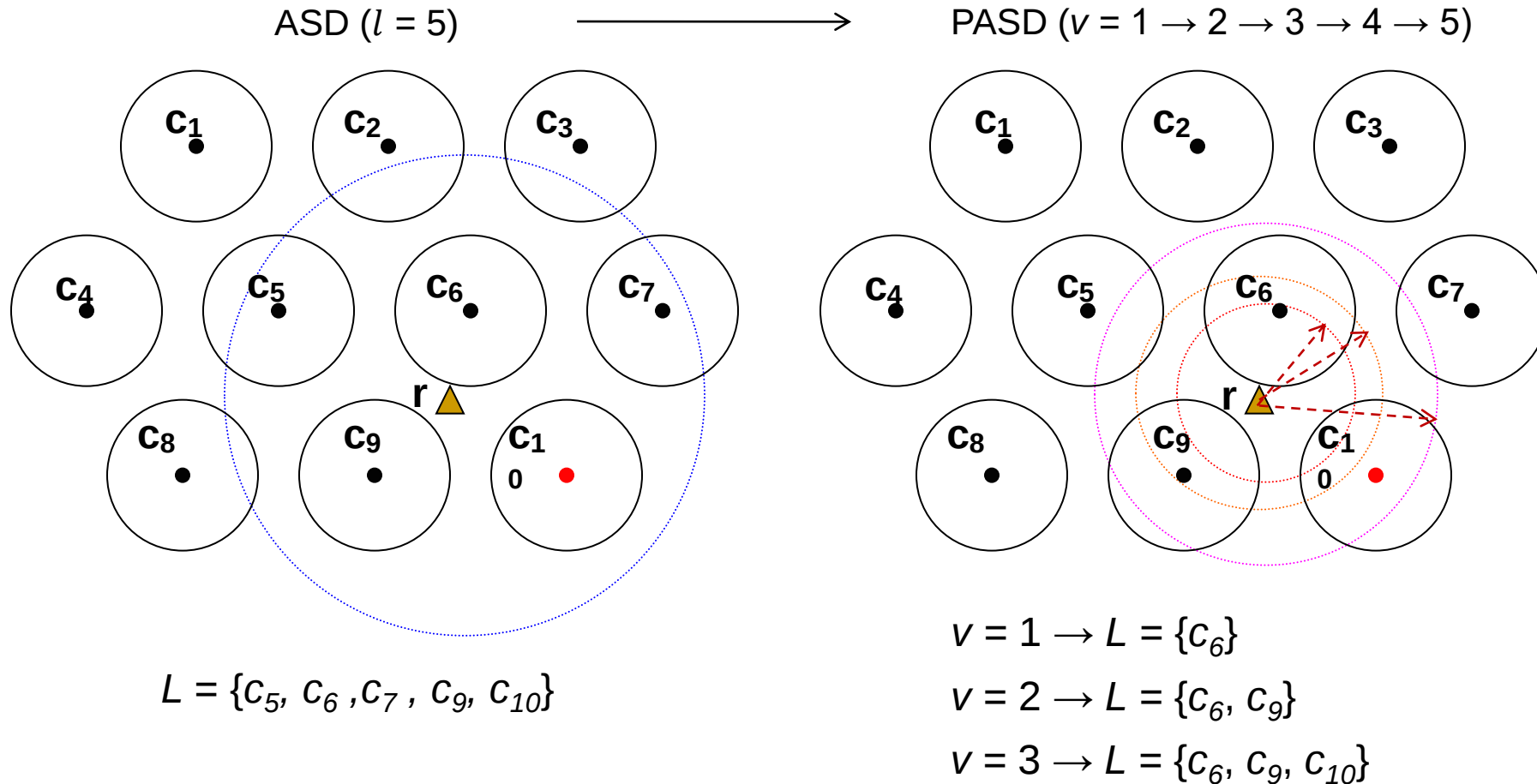


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■ L - decoding output list

v - current output list size



■ Enlarge v progressively and terminate once the codeword is found.

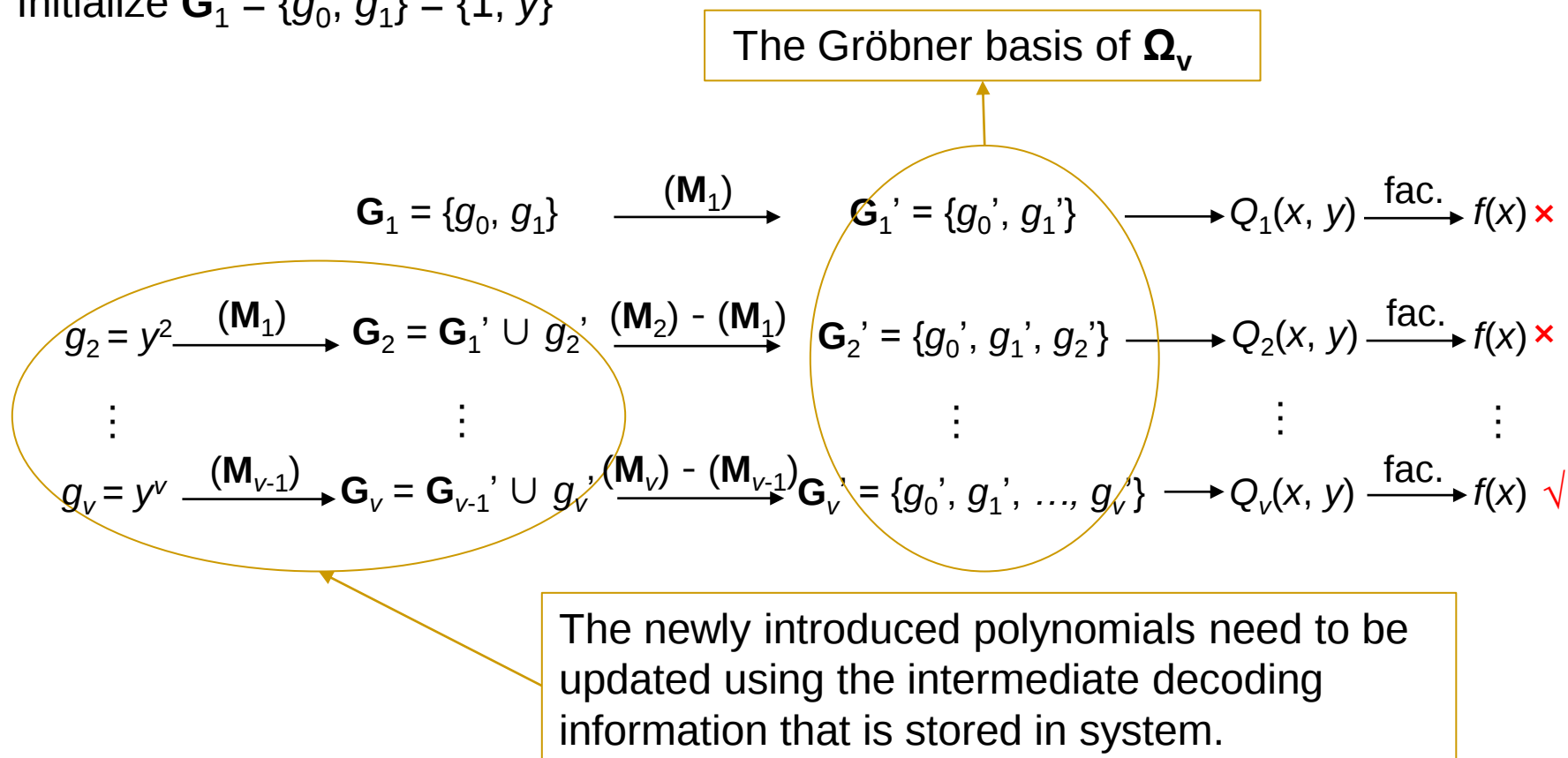
Curve-fitting Based Decoding -- PASD



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- **PASD algorithm using Kötter's interpolation (PASD-Kötter):** Progressively enlarge the polynomial group $\mathbf{G} \rightarrow$ progressively enlarge $\deg_y Q_v(x, y)$
- With reliability matrix $\mathbf{\Pi}$ and $v = 1 \rightarrow \mathbf{M}_1 : (\mathbf{M}_1)$ defines interpolation constraints
- Initialize $\mathbf{G}_1 = \{g_0, g_1\} = \{1, y\}$



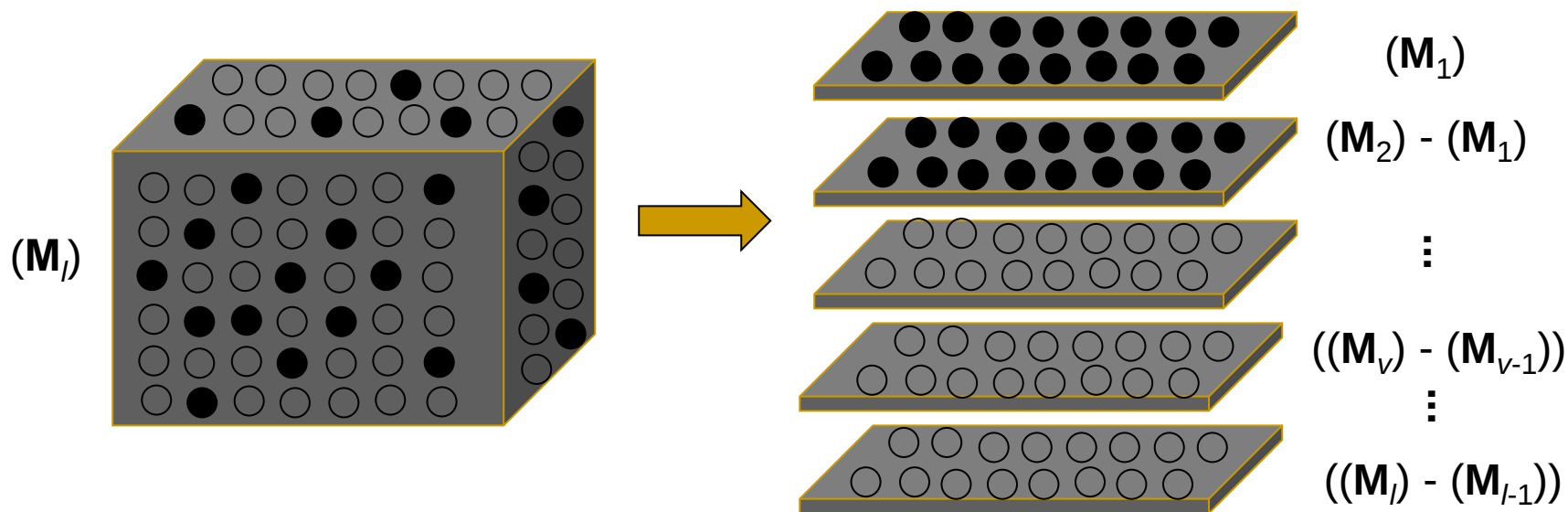
Curve-fitting Based Decoding -- PASD



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- Interpretation of PASD
- $(M_l) = (M_1) \cup ((M_2) - (M_1)) \cup \cdots \cup ((M_v) - (M_{v-1})) \cup \cdots \cup ((M_l) - (M_{l-1}))$



- The constraints to be satisfied.
- The satisfied constraints.

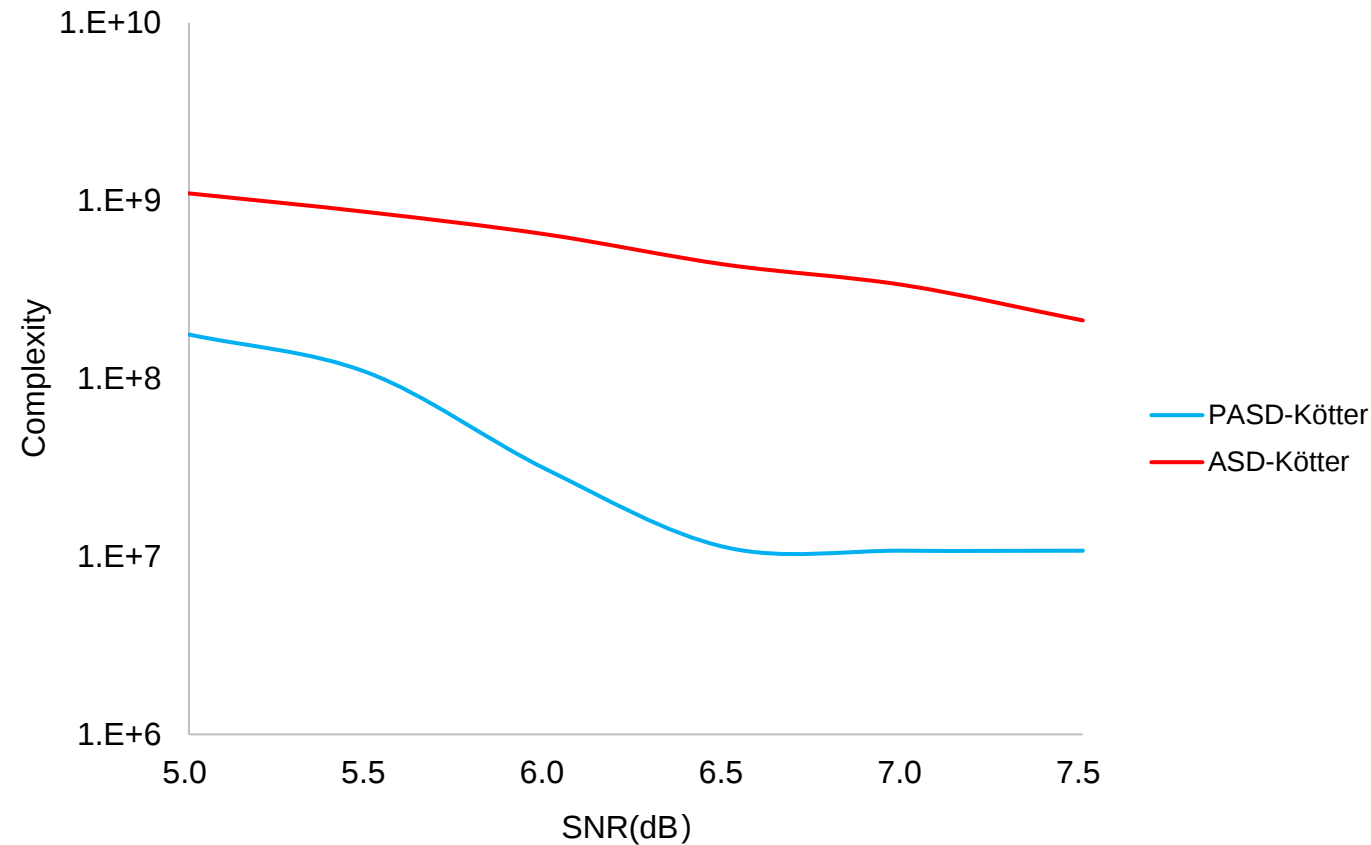
Curve-fitting Based Decoding -- PASD



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- Complexity of **ASD-Kötter** and **PASD-Kötter** in decoding the (255, 239) RS code
- AWGN, BPSK



Low decoding complexity is exchanged by system memory

Curve-fitting Based Decoding -- PASD



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■ PASD algorithm using BR interpolation (PASD-BR)

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 (1, 7) (2, 6) (4, 6) (3, 0) (6, 2) (7, 3) (5, 2)
 (1, 7) (2, 6) (4, 6) (3, 0) (6, 2) (7, 3) (5, 3)
 (1, 7) (2, 6) (4, 6) (3, 0) (6, 2) (7, 4) (5, 2)
 (1, 7) (2, 6) (4, 6) (3, 0) (6, 2) (7, 3) (5, 3)
 (1, 7) (4, 6) (7, 4) (5, 4)

■ Start with a decoding OLS of 1 ($\deg_y Q = 1$)

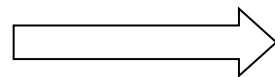
$$P_0(x, y) = (x-1)^6 (x-2)^5 (x-4)^6 (x-3)^5 (x-6)^5 (x-7)^4 (x-5)^3$$

$$P_1(x, y) = (y - R_1(x)) (x-1)^5 (x-2)^4 (x-4)^5 (x-3)^4 (x-6)^4 (x-7)^3 (x-5)^2$$

$$P_0(x, y) = G_0(x) = G_1(x) \cdot T_0(x)$$

$$P_1(x, y) = G_1(x) \cdot H_1(x, y)$$

$$B_1 = \begin{bmatrix} P_0^{(0)}(x) & 0 \\ P_1^{(0)}(x) & P_1^{(1)}(x) \end{bmatrix}$$



$G_1(x)$

$$\begin{bmatrix} T_0(x) & 0 \\ H_1^{(0)}(x) & H_1^{(1)}(x) \end{bmatrix}$$

Ξ_1

$$G_0(x) = G_1(x) \cdot T_0(x)$$

$G_1(x)$ is the GCD.

- Reducing image of B_1 into the weak Popov form is equivalent to reducing image of Ξ_1 into the weak Popov form.

Curve-fitting Based Decoding -- PASD



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- Increasing the decoding OLS from 1 to 2: $\deg_y Q = 2$

$$P_0(x, y) = (x-1)^6 (x-2)^5 (x-4)^6 (x-3)^5 (x-6)^5 (x-7)^4 (x-5)^3 \rightarrow G_0(x) = T_1(x) \cdot T_0(x) \cdot G_2(x)$$

$$P_1(x, y) = (y - R_1(x)) (x-1)^5 (x-2)^4 (x-4)^5 (x-3)^4 (x-6)^4 (x-7)^3 (x-5)^2 \rightarrow G_1(x) = T_1(x) \cdot G_2(x)$$

$$P_2(x, y) = (y - R_1(x)) (y - R_2(x)) (x-1)^4 (x-2)^3 (x-4)^4 (x-3)^3 (x-6)^3 (x-7)^2 (x-5)^2$$

$$P_0(x, y) = G_0(x) = T_1(x) \cdot T_0(x) \cdot G_2(x)$$

$$P_1(x, y) = H_1(x, y) \cdot T_1(x) \cdot G_2(x)$$

$$P_2(x, y) = H_2(x, y) \cdot G_2(x)$$

$G_2(x)$ is the GCD.

$$B_2 = \begin{bmatrix} P_0^{(0)}(x) & 0 & 0 \\ P_0^{(1)}(x) & P_1^{(1)}(x) & 0 \\ P_0^{(2)}(x) & P_1^{(2)}(x) & P_2^{(2)}(x) \end{bmatrix} \Rightarrow G_2(x) \begin{bmatrix} T_1(x) \cdot T_0(x) & 0 & 0 \\ T_1(x) \cdot H_1^{(0)}(x) & T_1(x) \cdot H_1^{(0)}(x) & 0 \\ H_2^{(0)}(x) & H_2^{(1)}(x) & H_2^{(2)}(x) \end{bmatrix}$$

$$\Xi_2 = \begin{bmatrix} T_1(x) \cdot \Xi_1 & 0_{2 \times 1} \\ H_2^{(0)}(x) & H_2^{(1)}(x) & H_2^{(2)}(x) \end{bmatrix}$$

- Ξ_2 is generated based on Ξ_1 . $H_2^{(0)}(x), H_2^{(1)}(x), H_2^{(2)}(x)$ are generated based on enumeration lists.

Curve-fitting Based Decoding -- PASD



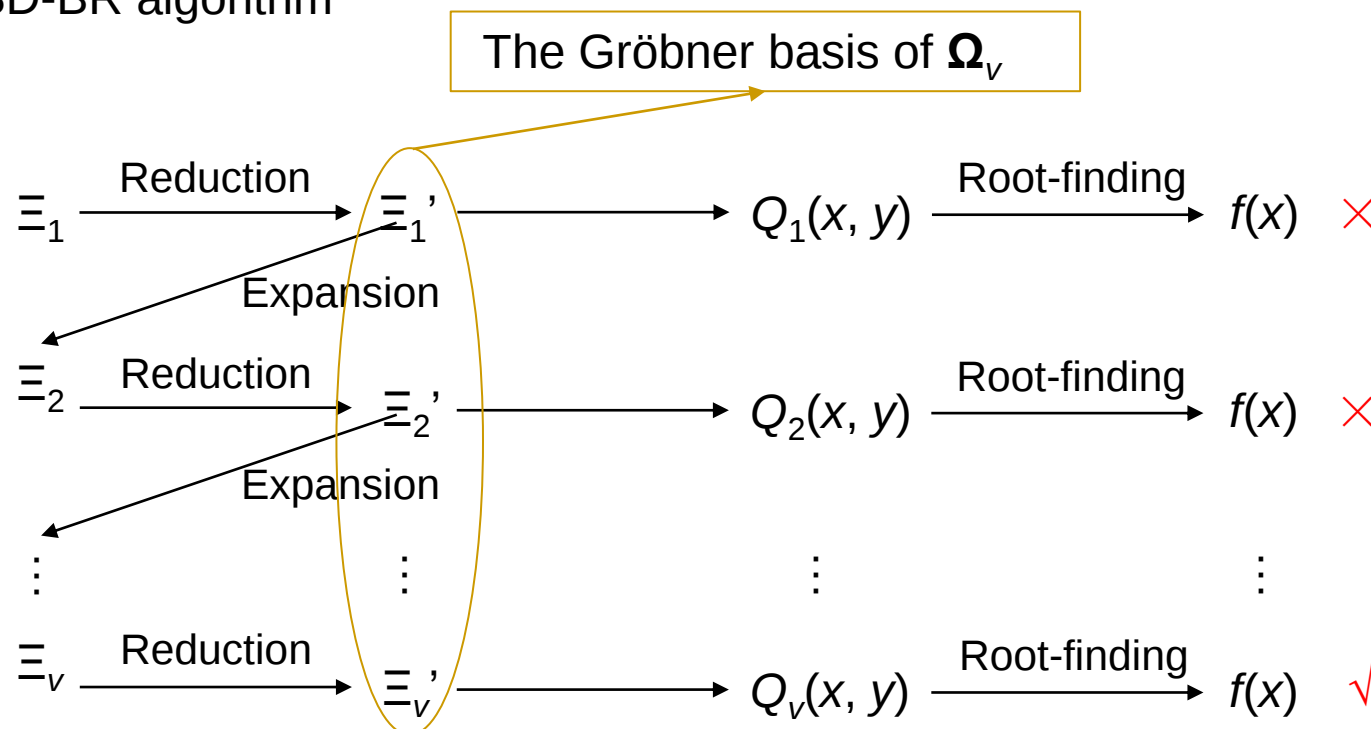
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- Based on the above deduction

$$B_v = G_v(x) \cdot \Xi_v \quad \Longrightarrow \quad \Xi_v = \begin{bmatrix} T_{v-1}(x) \cdot \Xi_{v-1} & 0_{v \times 1} \\ H^{(0)}_v(x) \cdots H^{(1)}_v(x) & H^{(v)}_v(x) \end{bmatrix}$$

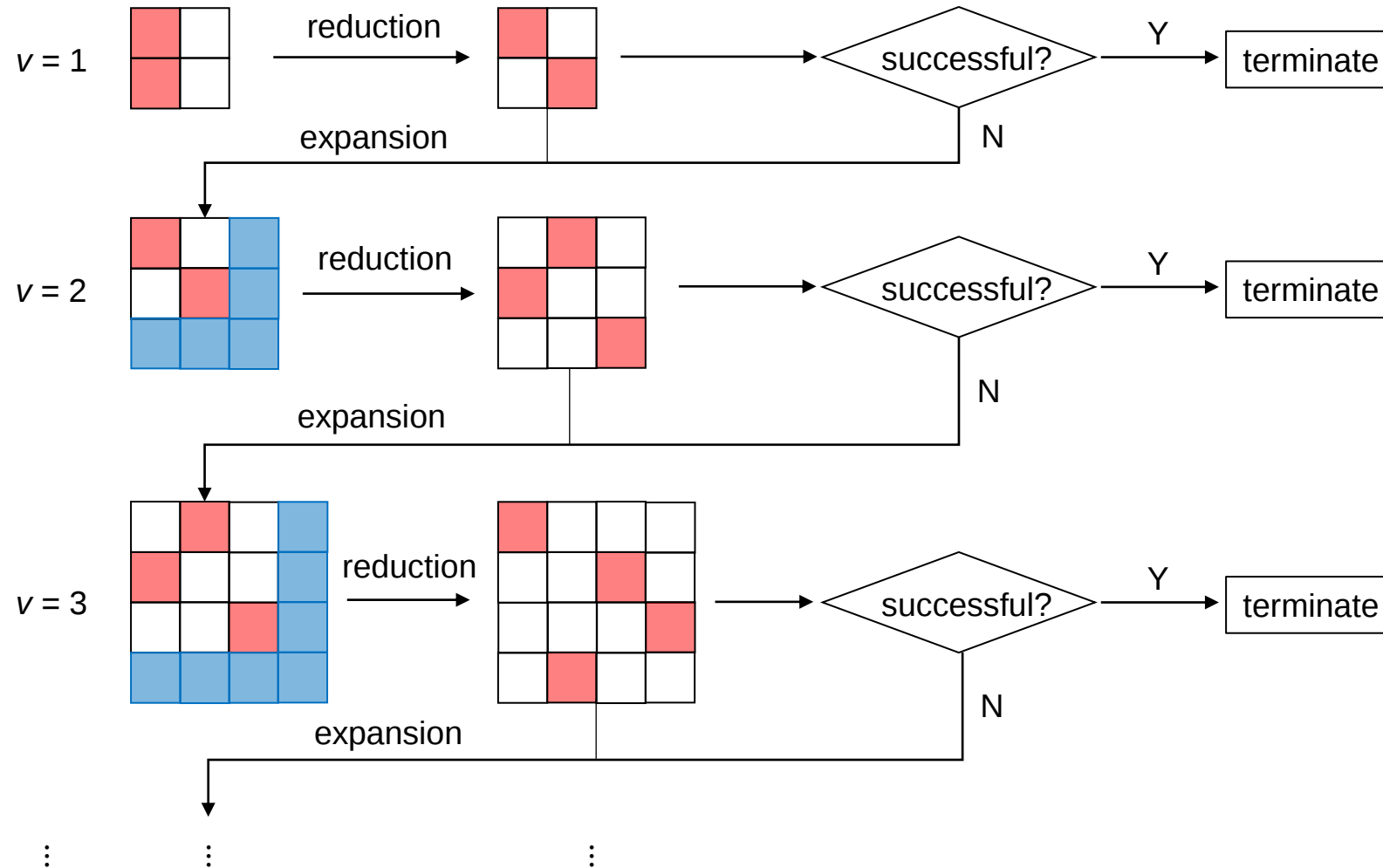
- Progressively enlarge $\Xi_v \rightarrow$ progressively enlarge y -degree of interpolated polynomial $Q_v(x, y)$
- The PASD-BR algorithm



Curve-fitting Based Decoding -- PASD



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- It can remove the memory requirement of the original PASD algorithm and achieve a lower decoding complexity.

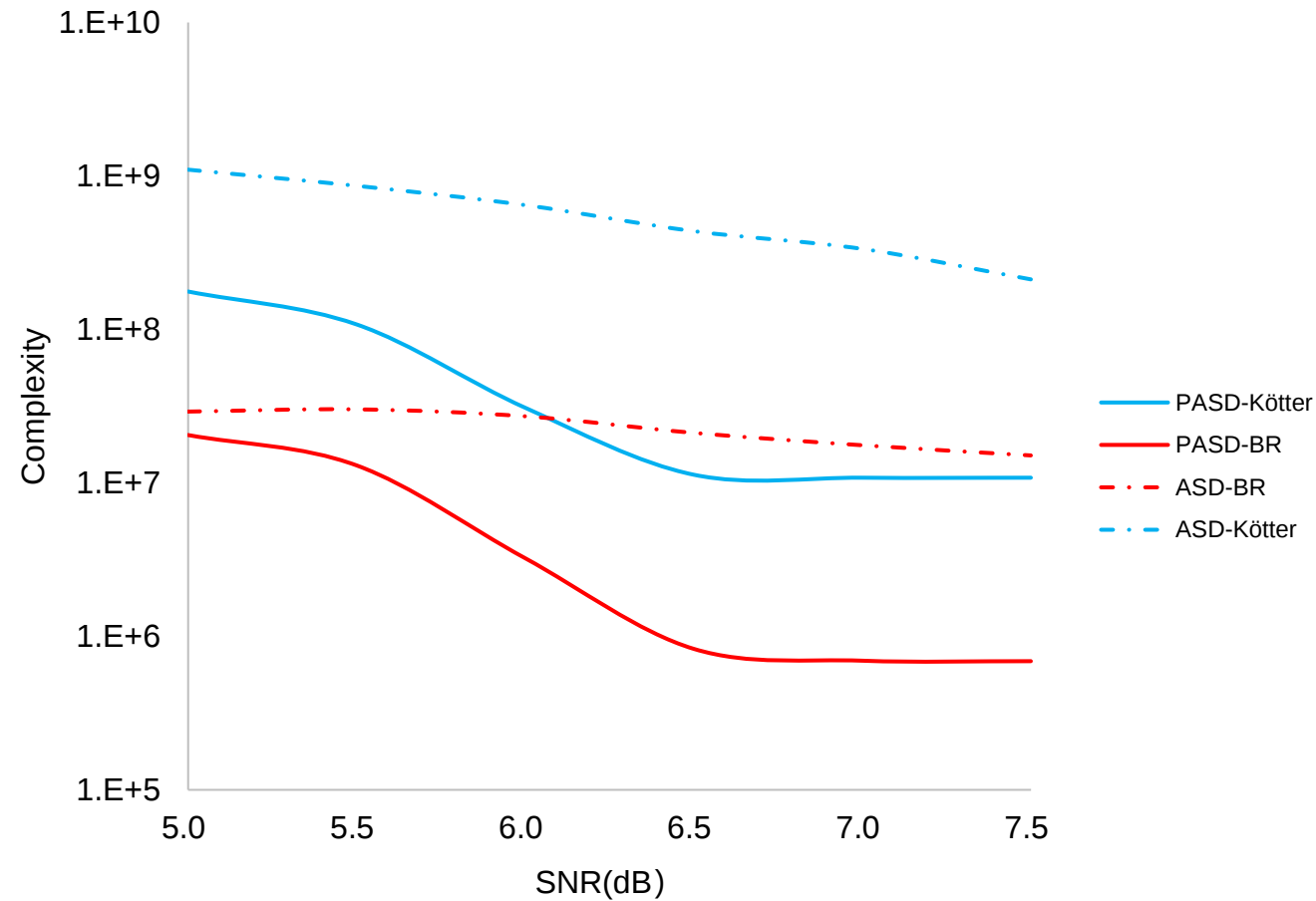
Curve-fitting Based Decoding -- PASD



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- Complexity of ASD-BR and PASD-BR in decoding the (255, 239) RS code
- AWGN, BPSK



Lower decoding complexity, memory cost is removed

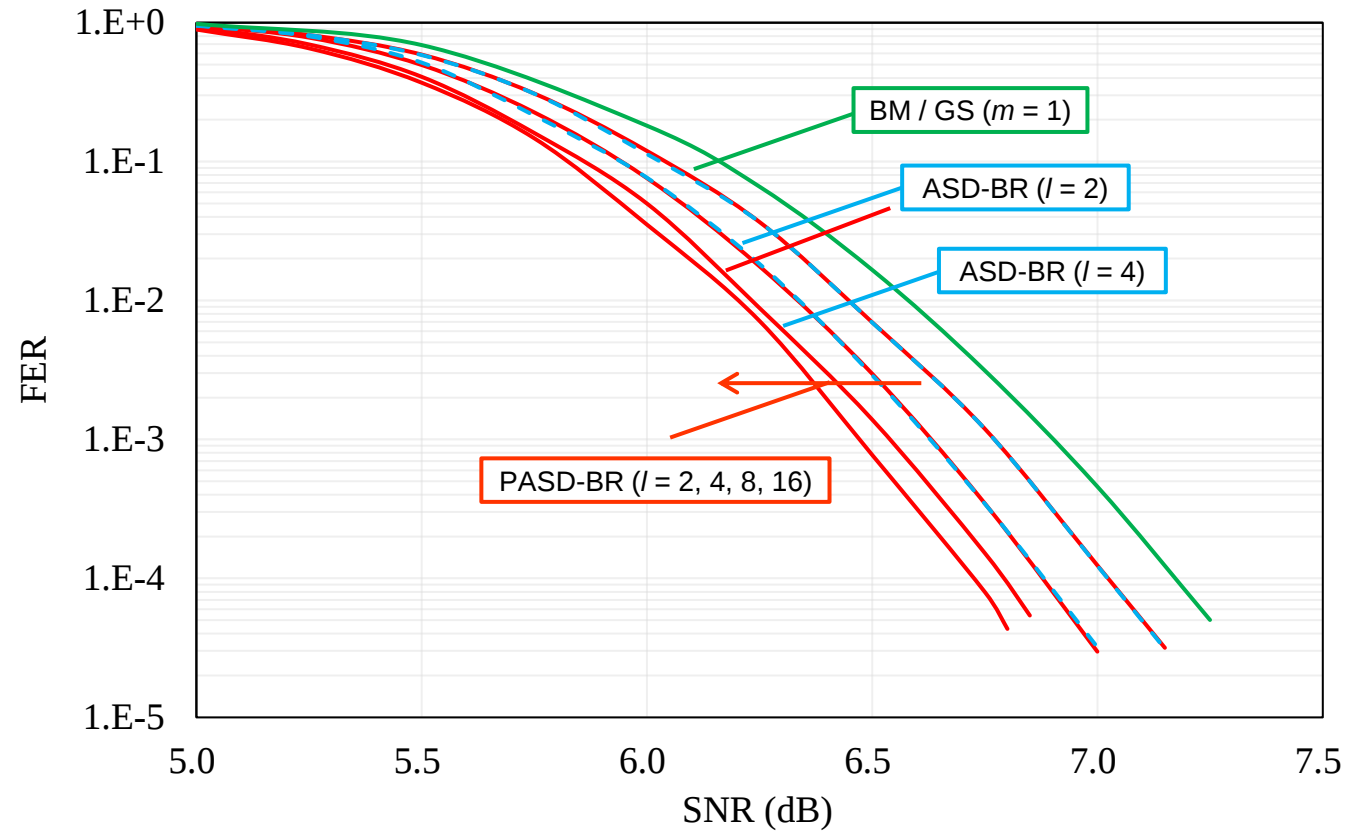
Curve-fitting Based Decoding-PASD



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- Performance of **ASD** and **PASD** in decoding the (255, 239) RS code
- AWGN, BPSK





	Syndrome Based Decoding	Curve-fitting Based Decoding
Error-Correction Capability	$t = \left\lfloor \frac{n - k}{2} \right\rfloor$	$t = n - 1 - \left\lfloor \sqrt{(k - 1)n} \right\rfloor$
Complexity Poly. Cons. Complexity Basis Red.	$O(t^2)$ $O(t^2)$	$O^{\sim}(n^2)$ $\delta \cdot O^{\sim}(n^2) \rightarrow \delta \cdot O^{\sim}(n), \delta < 1$
Enhanced Variants	GMD, Chase decoding, Power decoding, etc.	ASD, Chase decoding, etc.
Low-complexity Variants	?	Re-encoding transform, PASD

$O^{\sim}$ denotes O without l , m and log-factors.