



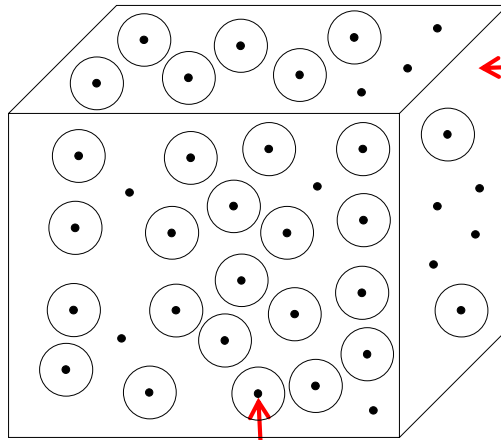
# Chapter 2 An Introduction of Channel Coding

- 2.1 Channel Coding
- 2.2 Block Codes
- 2.3 Cyclic Codes
- 2.4 The Parity-Check Matrix



## § 2.1 Channel Coding

- Channel Coding: map a  $k$ -dimensional message vector to an  $n$ -dimensional codeword vector, and  $k < n$ .
- If it is a binary channel code, there are at most  $2^k$   $n$ -dimensional codewords. The redundancy of  $2^n - 2^k$  enables the error-correction capability of the code.



The  $n$ -dimensional binary space that can accommodate at most  $2^n$  binary vectors.

There are  $2^k$   $n$ -dimensional codeword vectors filling the space.



## § 2.1 Channel Coding

- Let  $r = k/n$  be the code rate and  $C$  be the channel capacity. It is known if  $r < C$ , the estimation error probability of the source data  $X$  ( $P_e[\hat{X} \neq X]$ ) can approach zero.
- A channel code is a specific capacity approaching operational strategy.
- **Code classification** (according to the encoder's structure)

### 1. Block codes:

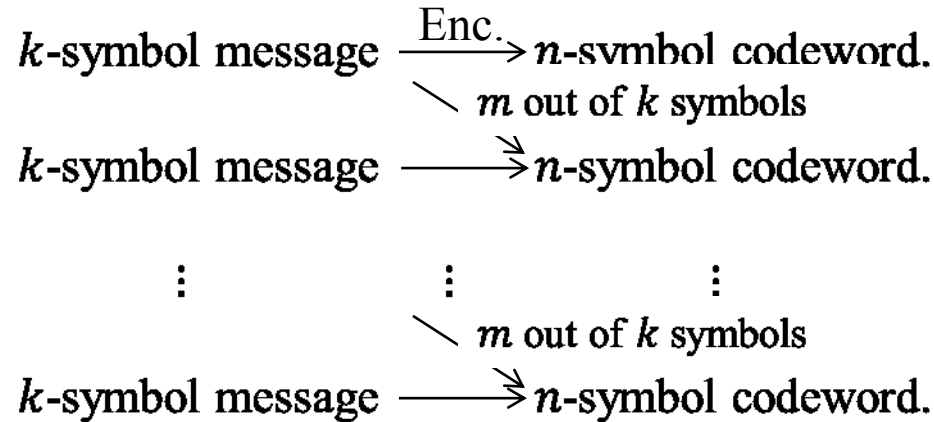
$k$ -symbol message  $\xrightarrow{\text{Enc.}}$   $n$ -symbol codeword.

- Encoder is memoryless and can be implemented with a combinatorial logic circuit.
- **Linear Block Code:** If  $c_i$  and  $c_j$  belong to a block code,  $c' = a \cdot c_i + b \cdot c_j$  also belongs to the block code.  $(a, b) \in F_q$  in which the block code is defined.
- Examples: Reed-Solomon code, algebraic-geometric code, Hamming code, low-density parity-check code.



## § 2.1 Channel Coding

### 2. Convolutional codes:



- Encoder has a memory of order  $m$  and can be implemented with a sequential logic circuit.
- Examples: Convolutional code, Trellis coded modulation, Turbo code.



## § 2.1 Channel Coding

### Start with Error-Detection:

The simplest class of block code is the **parity check code**, which cannot correct errors but can **detect** a single error.

For each binary message a parity check bit is added so that there are an **even** number of 1s in each codeword.

If  $k = 3$  then there are 8 possible messages. The eight codeword will be:

000 → 000**0**  
001 → 001**1**  
010 → 010**1**  
011 → 011**0**  
100 → 100**1**  
101 → 101**0**  
110 → 110**0**  
111 → 111**1**

When there are odd number of 1,  
the decoder (detector) knows error  
has been introduced.



## § 2.2 Block Codes

- All block codes are defined by their codeword length  $n$ , their message length (or dimension)  $k$  and their minimum Hamming distance  $d$ . A block code is denoted as an  $(n, k, d)$  code.
- Code rate:  $r = \frac{k}{n}$ .
- Encoding of a Block code can be written as:

$$\bar{c} = \bar{m} \cdot \mathbf{G}.$$

$\bar{m}$  —  $k$ -dimensional message vector

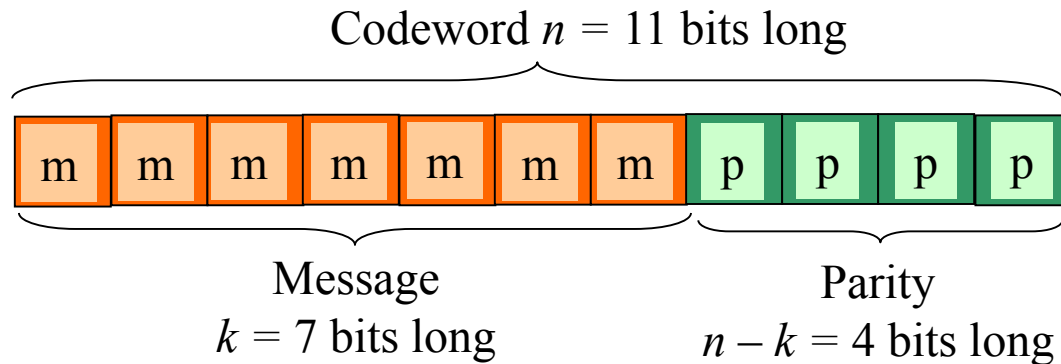
$\mathbf{G}$  — a generator matrix of size  $k \times n$

$\bar{c}$  —  $n$ -dimensional codeword vector



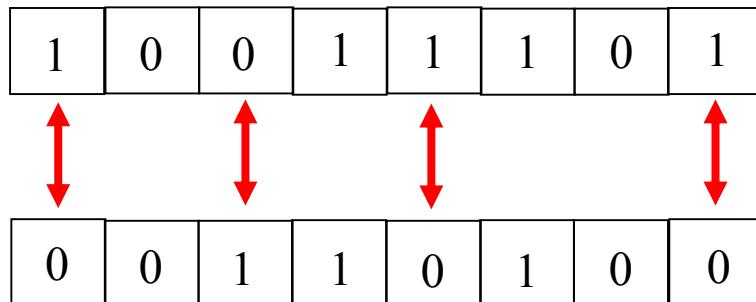
## § 2.2 Block Codes

### Hamming Distance



m = message bit  
p = parity check bit

The Hamming distance between any two codewords is the total number of positions where the two codewords differ.



The total number of positions where these two codewords differ is 4.  
Therefore, the Hamming distance is 4.



## § 2.2 Block Codes

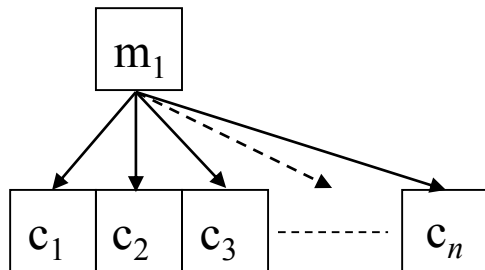
### Repetition Codes

A repetition encoder takes a **single** message bit and gives a codeword that is the message bit repeated  $n$  times, where  $n$  is an **odd** number

A message bit **0** will be encoded to give the codeword **0000...000**

A message bit **1** will be encoded to give the codeword **1111...111**

- This is the simplest type of error-correcting code as it only has **two codewords**
- We can easily see that it has a minimum Hamming distance  $d = n$
- Hence it is an  $(n, 1, n)$  block code



The generator matrix of the code is simply

$$\mathbf{G} = [1 \ 1 \ 1 \ 1 \ \dots \ 1]$$





## § 2.2 Block Codes

### Majority Decoding

To recover the transmitted codeword of a repetition code, a simple decoder known as a **Majority Decoder** is used

1. The number of 0s and 1s in the received word are counted
2. If the number of 0s  $>$  number of 1s (*i.e.* a majority), then the message bit was a 0. Else if the number of 1s  $>$  number of 0s, then the message bit was a 1

**Example:** Say our message bit was a 1 and it was encoded by the (5, 1, 5) repetition code then the codeword will be  $\mathbf{c} = 11111$ .

- If after transmission we receive the word  $\mathbf{r} = 10011$  then the number of 1s  $>$  number of 0s and so the majority decoder decides that the original message was 1
- However, if we receive the word  $\mathbf{r} = 00011$  then the number of 0s  $>$  number of 1s and the Majority decoder **incorrectly** decides that the original message was 0

In general, a  $(n, 1, n)$  repetition code can correct up to  $\frac{n-1}{2}$  errors



## § 2.2 Block Codes

### The Minimum Hamming Distance and Error Correction of a Block Code

Take the (3, 1, 3) repetition code with codewords 000 and 111

If we add **one** error,  
the possible received  
words are

| Codewords  |            |
|------------|------------|
| <u>000</u> | <u>111</u> |
| 001        | 110        |
| 010        | 101        |
| 100        | 011        |

All received words are **unique** so we can see that this code can correct one error.

If we add **two** errors,  
the possible received  
words are

| Codewords  |            |
|------------|------------|
| <u>000</u> | <u>111</u> |
| 011        | 100        |
| 110        | 001        |
| 101        | 010        |

However, the received words also appear in the first list and so are not unique. Hence, this code cannot correct two or more errors.



## § 2.2 Block Codes

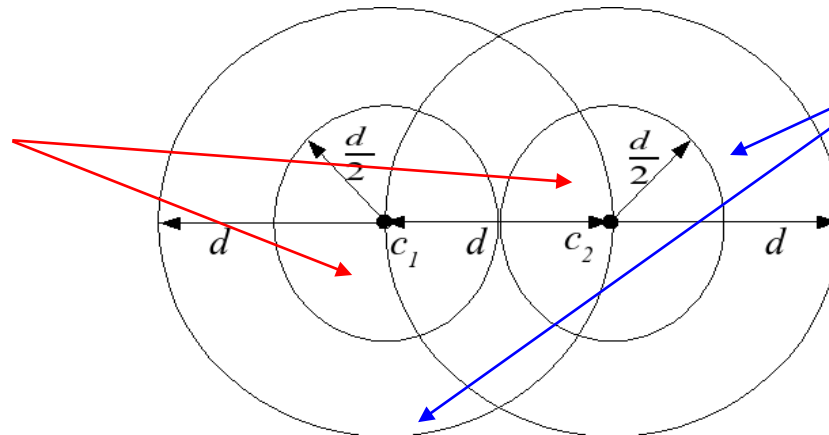
### The Minimum Hamming Distance and Error Correction of a Block Code

The minimum Hamming distance: for any two codewords  $c_i$  and  $c_j$  picked up from the codebook  $\mathcal{C}$ , the minimum Hamming distance  $d$  is defined as:

$$d = \min_{(c_i, c_j) \in \mathcal{C}} \{d_{Ham}(c_i, c_j)\}.$$

- In general, a block code can correct up to  $\lfloor \frac{d-1}{2} \rfloor$  errors, where  $\lfloor x \rfloor$  means that  $x$  is rounded down to the nearest integer, e.g.  $\lfloor 2.5 \rfloor = 2$
- A block code can **detect**  $d - 1$  errors

A block code can **correct** received words with up to  $d/2$  errors.



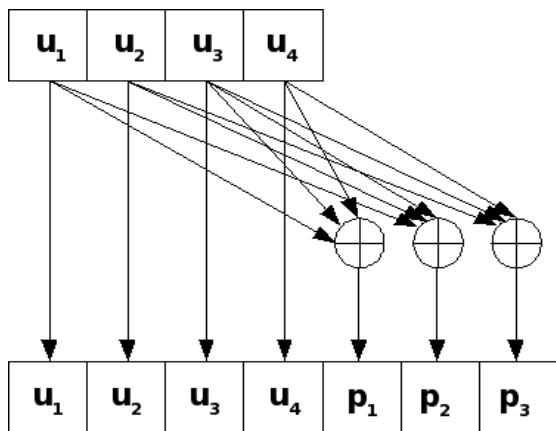
A block code can **detect** up to  $d - 1$  errors



# § 2.2 Block Codes

## The (7, 4, 3) Hamming Code

This code can correct 1 error  
 Notice that only 16 of 128 possible sequences of length 7 bits are used for transmission.



(7, 4, 3) Hamming Code

The parity bits are calculated by

$$p_1 = u_1 \oplus u_3 \oplus u_4$$

$$p_2 = u_1 \oplus u_2 \oplus u_3$$

$$p_3 = u_2 \oplus u_3 \oplus u_4$$

The encoding can be written as

$$\bar{c} = \bar{m} \cdot \mathbf{G},$$

and

$$\mathbf{G} =$$

This is a systematic encoding as the message symbols appear in the codeword.

| Message | Codeword |
|---------|----------|
| 0000    | 0000 000 |
| 0001    | 0001 101 |
| 0010    | 0010 111 |
| 0011    | 0011 010 |
| 0100    | 0100 011 |
| 0101    | 0101 110 |
| 0110    | 0110 100 |
| 0111    | 0111 001 |
| 1000    | 1000 110 |
| 1001    | 1001 011 |
| 1010    | 1010 001 |
| 1011    | 1011 100 |
| 1100    | 1100 101 |
| 1101    | 1101 000 |
| 1110    | 1110 010 |
| 1111    | 1111 111 |



## § 2.3 Cyclic Codes

- A cyclic code is a block code which has the property that cyclically shifting a codeword results in another codeword
- Cyclic codes have the advantage that simple encoders can be constructed using shift registers and low complexity decoding algorithms exist to decode them
- A cyclic code is constructed by first choosing a generator polynomial  $g(x)$  and multiplying this by a message polynomial  $m(x)$  to generate a codeword polynomial  $c(x)$ .



## § 2.3 Cyclic Codes

### Cyclic Hamming Code

- The (7, 4, 3) Hamming code is actually a cyclic code and can be constructed using the generator polynomial  $g(x) = x^3 + x^2 + 1$ .
- For example, to encode the binary message 1010 we first write it as the message polynomial  $m(x) = x^3 + x$  and then multiply it with  $g(x)$  modulo-2

$$\begin{aligned}c(x) &= m(x)g(x) \\ &= (x^3 + x)(x^3 + x^2 + 1) \\ &= x^6 + x^5 + x^3 + x^4 + x^3 + x \quad [(x^3 + x^3) \bmod 2 = 2x^3 \bmod 2 = 0] \\ &= x^6 + x^5 + x^4 + x\end{aligned}$$

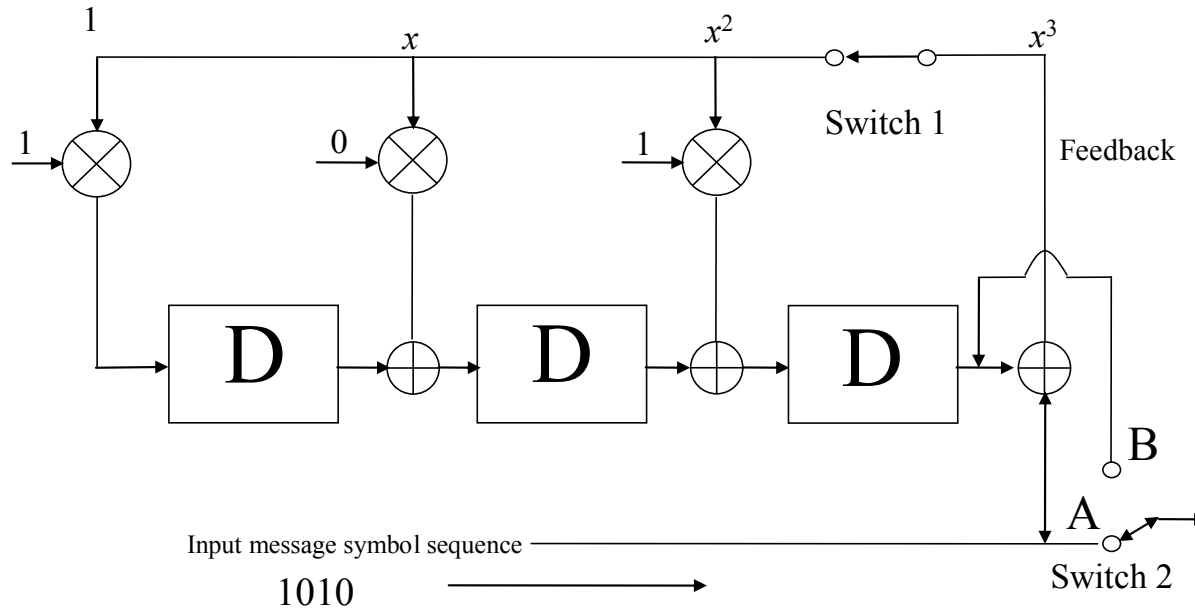
This codeword polynomial corresponds to 1 1 1 0 0 1 0

- However, notice that the first four bits of this codeword are not the same as the original message 1010
- This is an example of a **non-systematic** code



## § 2.3 Cyclic Codes

### Systematic Cyclic Hamming Code



An encoder for the systematic (7, 4, 3) cyclic Hamming code

1. For the first  $k = 4$  message bits, switch 1 is closed and switch 2 is in position A
2. After the first 4 message bits have entered, switch 1 is open, switch 2 is in position B and the contents of memory elements are read out giving the parity check bits



## § 2.4 The Parity Check Matrix

- We need to know when a codeword is valid
- A parity check matrix  $\mathbf{H}$  is defined as the **null space** of the generator matrix  $\mathbf{G}$ , i.e. the inner product of the two matrices results in an all-zero matrix,  $\mathbf{GH}^T = \mathbf{0}$  (T is the transpose of the matrix)
- When a codeword is multiplied by the parity check matrix it should result in an all-zero vector, i.e.,  
$$\bar{\mathbf{c}} \cdot \mathbf{H}^T = \bar{\mathbf{m}} \cdot \mathbf{G} \cdot \mathbf{H}^T = \mathbf{0}.$$

↖— Syndrome vector.
- If  $\hat{\mathbf{c}} \cdot \mathbf{H}^T = \mathbf{0}$ , it implies  $\hat{\mathbf{c}}$  is a valid codeword.





## § 2.4 The Parity Check Matrix

- If the generator matrix is of the form  $\mathbf{G} = [\mathbf{I}_k \mid \mathbf{P}]$ , where  $\mathbf{I}_k$  is a  $(k \times k)$  identity matrix and  $\mathbf{P}$  is a parity matrix, then the parity check matrix is of the form  $\mathbf{H} = [\mathbf{P}^T \mid \mathbf{I}_{n-k}]$

Taking the (7, 4, 3) Hamming code

$$\mathbf{G} = \begin{array}{c} \mathbf{I}_4 \quad \quad \quad \mathbf{P} \\ \left[ \begin{array}{cccc|ccc} 1 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{array} \right] \end{array}$$

The parity check matrix is



$$\mathbf{H} = \begin{array}{c} \mathbf{P}^T \quad \quad \quad \mathbf{I}_{n-k} = \mathbf{I}_{7-4} = \mathbf{I}_3 \\ \left[ \begin{array}{cccc|ccc} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 1 \end{array} \right] \end{array}$$